

# TOWN OF ULYSSES

## PERSONNEL POLICY

Adopted By Resolution of the Town Board  
on September 23, 2014  
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# TOWN OF ULYSSES

## PERSONNEL POLICY

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## **100 INTRODUCTION**

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### **101 *Welcome Message***

We would like to welcome you and congratulate you on your position with the Town of Ulysses. As a part of our team, you take on an extremely important role, that of serving the members of our community. Together, our mission is to provide cost-effective services that conform to the highest standards of quality.

This Personnel Policy is designed to familiarize you with policies and to help ensure government compliance, foster positive relationships, and contribute to the overall success of the Town in delivering services to the public effectively and efficiently.

Please keep in mind that this is only an overview of the Town's policies and procedures, employee benefits, and the Civil Service System. Specific questions concerning employment matters should be addressed to your Department Head.

We trust that you will find service with the Town of Ulysses rewarding both personally and professionally.

### **102 *Our Heritage***

The Town of Ulysses was settled in 1790 and was named for the hero of Homer's Odyssey. The Town was incorporated in 1794 as part of a land grant payment to Revolutionary War soldiers. Ulysses is 36.84 square miles, including 32.98 square miles of land and 3.86 square miles of water. Ulysses is bounded by the Town of Covert in Seneca County to the north, the Towns of Ithaca and Enfield to the south, and Town of Hector in Schuyler County to the west. The eastern town line is Cayuga Lake. The Town's character is defined by agricultural lands and open space interspersed by pockets of residential development, a variety of small-scale commercial uses, and a well-defined village center in Trumansburg. The Village of Trumansburg was incorporated in 1872 and became the commercial and residential center of the Town of Ulysses. While much of the Town is devoted to farms, the proximity to Ithaca has brought a large number of professionals, academics and artists to the Town. There were historically a number of small "communities" within the larger Town, including: DuBois Corner, Halseyville, Jacksonville, Krums Corner, Podunk, Waterburg and Willow Creek.

## 103 **Definitions**

**Town of Ulysses** – For purposes of this Personnel policy, the Town of Ulysses may be referred to as the “Town”.

**Town Board** – For purposes of this Personnel policy, “Town Board” will mean the Town Board of the Town of Ulysses.

**Elected Official** – For the purposes of this Personnel policy, “Elected Official” will mean and refer to any of the following elected officials of the Town of Ulysses:

- Town Supervisor
- Town Board Members (4)
- Town Justices (2)
- Town Clerk
- Superintendent of Highways

**Town Supervisor** – For purposes of this Personnel policy, “Town Supervisor” will mean the Town Supervisor of the Town of Ulysses. When referenced in this Personnel Policy, Town Supervisor shall also mean an individual acting with the Town Supervisor’s properly designated authority.

**Department Head** – For purposes of this Personnel Policy, “Department Head” will mean the person in charge of any department, agency, bureau, unit, or subdivision of the Town of Ulysses. This definition will be applicable in the event such person is serving in an acting, temporary, or provisional status in the position of Department Head. This term shall also include the Town Supervisor, where an individual otherwise designated as Department Head or any other individual must report directly to the Town Supervisor.

**Supervisor** – For purposes of this Personnel Policy, “supervisor” will mean the individual so designated by the Department Head to direct and inspect the performance of employees.

**Employee** – For the purposes of this Personnel Policy, “employee” will mean a person employed by the Town, including, but not limited to, an appointed official, an appointed member of a board or commission, Department Head, managerial employee, confidential employee, supervisory employee, provisional employee, probationary employee, temporary employee, seasonal employee, trainee, or student intern, but not an independent contractor.

**Civil Service Law** – For purposes of this Personnel Policy, “Civil Service Law” shall mean the New York State Civil Service Law and shall include the *Civil Service Rules for Tompkins County*.

## 104 **Employee Classifications** – (Amended 11/22/2016)

For purposes of this Personnel Policy, the following terms shall be defined as indicated. The definition provided for each of these terms applies only within the context of this Personnel Policy. The meaning and use of these terms or similar terms may be different in the context of Civil Service Rules.

**“Class A” Full-Time Employees** – For purposes of this Personnel Policy, the term “Class A employee” will mean an employee who is regularly scheduled to work a minimum of thirty (30) or more hours per week.

**“Class B” Employees** – For purposes of this Personnel Policy, the term “Class B employee” will mean an employee who is scheduled on a regular and on-going basis to work at least twenty (20) or more and less than thirty (30) hours per week.

**“Class C” Employees** – For purposes of this Personnel Policy, the term “Class C employee” will mean an employee who is scheduled on a regular and on-going basis to work less than twenty (20) hours per week.

**“Shared Employee”** – For the purposes of this Personnel Policy, the term “Shared Employee” will mean:

- an employee who works for the Town of Ulysses and one other municipality (either within Tompkins County or adjacent municipalities in neighboring counties), and
- an employee whose combined hours are more than 20 hours/week.

The Town may enter into agreements with other municipalities to arrange for payment/reimbursements for health insurance and dental premiums.

A “Shared Employee” will be classified under the Town of Ulysses Employee Classification system based on their combined total hours worked for both municipalities. The employee will be entitled to have the Town of Ulysses pay a proportional share of their health insurance and dental benefits based on the classification fitting the total hours worked for both municipalities. However, the total of insurance premium payments (by both municipalities) may not exceed the maximum benefit of the Town's classification.

For example,

If the Town's payment of “Class A” benefits equals 90%, the employee's benefits may not exceed 90%. If the sharing municipality offers more generous insurance coverage, the Town of Ulysses will only provide its share of a 90% benefit maximum.

An employee working 20 hours for the Town of Ulysses and 20 hours for another municipality would be considered a Class A employee in 2016. The Personnel Policy requires the Town to pay 90% of health insurance for a Class A employee. For a “Shared Employee”, the Town would pay half, or 45%, of the health insurance premium.

**Temporary Employees** – For purposes of this Personnel Policy, the term “temporary employee” will mean an employee who is employed on an interim or sporadic basis, or who is employed to work on a special, emergency, or on-call basis for a specified period, consistent with the Civil Service Law as applicable.

**Seasonal Employees** – For purposes of this Personnel Policy, the term “seasonal employee” will mean an employee who is employed to work for a given season or portion thereof.

**FLSA Non-Exempt Employees** – For purposes of this Personnel Policy, the term “FLSA non-exempt employee” will mean a covered employee who is subject to the minimum wage and overtime provisions of the Fair Labor Standards Act.

**FLSA Exempt Employees** – For purposes of this Personnel Policy, “FLSA exempt employee” will mean a covered employee who qualifies for an exemption from the minimum wage and overtime provisions of the Fair Labor Standards Act (FLSA), or an employee who is not covered under the FLSA.

**Elected Officials** - For the purposes of health benefits described in the Personnel Policy, “Elected Official” refers to the following Elected Officials of the Town of Ulysses:

- Town Clerk (considered Class “A” exempt): A Clerk, with the approval of the Town Board, may work less than full time and receive pro-rated health benefits.
- Superintendent of Highways (considered Class “A” exempt) Superintendent, with the approval of the Town Board, may work less than full time and receive pro-rated health benefits.
- Town Supervisor, (considered a Class “A” exempt) a Supervisor, with the approval of the Town Board, may work less than full time and receive pro-rated health benefits.
- Town Board Members (considered Class “C” exempt)
- Town Justices (considered Class “C” exempt)

## 105 *The Purpose of this Personnel Policy*

**Statement of Purpose** – The purpose of this Personnel Policy is to communicate the Town’s personnel policies and practices to all employees and Elected Officials. It is extremely important that each employee understand the policies that relate to rules, regulations, procedures, practices, work standards, employment classifications, compensation, and benefits. **This Personnel Policy is not a contract of employment, express or implied, and should not be construed as such.** That is, employment can be terminated at any time at the will of either the employer or the employee, subject only to such procedural requirements as may be specified pursuant to New York State Civil Service Law, Town Law, or any other applicable law, rule, or regulation.

Unless otherwise required by law, the provisions of this Personnel Policy are for Town use only and do not apply in any criminal or civil proceeding. The Personnel Policy provisions shall not be construed as a creation of higher legal standard of safety or care. Notwithstanding the above, a violation of a Handbook provision may form the basis for administrative action by the Town and any subsequent judicial or administrative proceeding.

**Plan Documents** – Some of the subjects described in this Handbook are covered in greater detail in benefit plan documents or applicable laws governing the benefit, such as New York State Retirement Law for retirement benefits. This handbook only briefly summarizes those benefits. The terms of written insurance policies, benefit plans, and applicable laws and regulations control in all cases.

**Previous Personnel Policies** – Unless otherwise specified, this Personnel Policy supersedes and replaces any previous personnel policies issued by the Town concerning all policies contained herein.

**Questions** – Any questions regarding any topic covered in this Personnel Policy should be directed to the appropriate Department Head or the Town Supervisor’s Office.

## 106 ***Changes or Modifications***

**Rights of the Town Board** – The Town Board reserves the right to interpret, change, modify, or eliminate any provision contained in this Personnel Policy.

**Governmental Actions** – This Personnel Policy is subject to alteration by resolutions of the Town Board, changes in Town and/or departmental rules, or changes in federal, state or local statutes, rules, or regulations. (This is not meant to be a comprehensive list).

**Statutes, Laws and Ordinances** – In the event a federal or state statute or a Town Law or ordinance should conflict with any provision contained in this Personnel Policy, then such statute, law or ordinance will prevail.

## 200 THE CIVIL SERVICE SYSTEM

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The following is intended as a guide for informational purposes. The Civil Service Law and the *Civil Service Rules for Tompkins County* shall govern regarding the jurisdictional classification of positions and the appointment and promotion of personnel.

### 201 *The Unclassified and Classified Services*

**Unclassified Service** – In accordance with Civil Service Law and for purposes of this Personnel Policy, the term “Unclassified Service” will include all individuals who are Elected Officials and/or members of boards or commissions.

**Classified Service** – In accordance with Civil Service Law and for purposes of this Personnel Policy, the term “Classified Service” as defined by the Civil Service Law and the *Civil Service Rules for Tompkins County* will include all Town employees who are subject to the *Civil Service Rules for Tompkins County*. The Classified Service is divided into four jurisdictional classes:

- **Exempt** – those positions, other than unskilled labor positions, for which competitive or non-competitive examinations or other qualification requirements are not practicable (Civil Service Law, Section 41);
- **Competitive** – those positions for which it is practicable to determine merit and fitness by competitive examination;
- **Non-Competitive** – those positions not in the exempt class or the labor class for which it is not practicable to determine merit and fitness by competitive examination, but rather by a review of training and experience; and,
- **Labor** – unskilled labor positions, except those positions which can be examined for competitively.

### 202 *Civil Service Appointments*

**Competitive Class** – In accordance with Civil Service Law, the following types of appointments may be made to positions in the Competitive Class:

- **Permanent** – an appointment to a vacant position in the Competitive Class from an eligible list established as a result of examination, following successful completion of a probationary term;
- **Provisional** – an appointment to a vacant position in the Competitive Class when there is not an appropriate eligible list. A provisional appointee must take an examination whenever it is scheduled. Thereafter, a permanent appointment will be made on the basis of the eligible list resulting from the examination; or

*Town of Ulysses Personnel Policy*

- **Temporary** – an appointment to a position in the Competitive Class for reasons including, but not limited to: emergency work projects; planned termination of the position after a limited time; to replace an employee who is on a leave of absence; to fill a position funded through a temporary grant; or to fill a position vacated by the promotion of another employee until the employee who has been promoted receives permanent status.

### **203 Examinations and Promotions**

**Examinations** – In accordance with Civil Service Law, in the event there is a vacancy in a new or existing position in the Competitive Class which the Town intends to maintain, the Town will fill the vacancy by selection from the eligible list certified by the Tompkins County Personnel Department of persons who have taken the appropriate Civil Service examination. The Tompkins County Personnel Department will test and rank each candidate according to the individual's performance on the examination. In accordance with Civil Service Law Section 61, the Town will select one of the top three eligible candidates on the list willing to fill the position.

**Promotions** – The Town will offer opportunities for advancement for those employees who qualify. In the event the position is in the Competitive Class, a qualified employee must normally take a promotional examination and the above "one of three" rule will apply. An employee who wants to be promoted should become knowledgeable about the employee's present position and be aware of higher level positions for which the employee may be qualified.

### **204 Veterans Credits**

**Summary** – An employee who is a veteran as defined by the Civil Service Law may be eligible to apply for veterans' credits on a Civil Service examination. An employee who is a veteran should contact the Tompkins County Personnel Department for details concerning these credits

## 300 EMPLOYMENT MATTERS

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### 301 *Oath of Office*

**Requirement** – Each Public Officer as defined in the Public Officers Law must take the Oath of Office in accordance with Town Law Section 25 and Public Officers Law Section 10, which must be administered prior to commencing the duties of the office. Each official who is re-elected or re-appointed to a subsequent term must take the Oath of Office for each term.

Upon original appointment or upon a new appointment following an interruption of continuous service, each employee (other than an employee in the labor class) must take an oath or alternate affirmation as set forth in Civil Service Law Section 62.

**Filing of Oath** – The Oath of Office is filed in the Town Clerk’s Office within thirty calendar days of the Public Officer’s commencement of the term of office, or upon an employee’s appointment.

### 302 *Procedure for Filling Vacancies*

**Statement of Compliance** – The Town of Ulysses is an Equal Opportunity Employer. The Town complies with all applicable federal, state and local laws, rules, and regulations throughout the employee selection process, including, but not limited to, Public Officers Law, Town Law, Civil Service Law, Title VII, Human Rights Law, the Age Discrimination in Employment Act, and the Americans with Disabilities Act.

**Notification of Vacancies** – In the event there is a vacancy in a new or existing position which the Town intends to maintain, the vacancy may be advertised and/or posted and qualified individuals interviewed. The Town reserves the right to fill a position either internally or with an external candidate.

**Employment Applications** – Applicants for civil service positions must apply through and adhere to Tompkins County personnel policies. The Town relies upon the accuracy of information contained in the employment application, as well as the accuracy of other data presented throughout the hiring process. Any misrepresentations, falsifications, or material omissions in any of this information or data may result in the Town’s exclusion of the individual from further consideration for employment or disqualification if the conduct is discovered after employment commences.

**Employment Reference and Background Checks** – To ensure that individuals who join the Town are well qualified and have a strong potential to be productive and successful, the Town reserves the right to check the employment references of final applicants. In addition, final applicants will be required to complete a hold harmless statement and release in order for the Town to conduct appropriate background checks. (Appendix M is a hold harmless statement and release form).

**Residency Preference** – Qualified applicants who are Town of Ulysses residents may be given priority consideration over qualified nonresidents depending on the position.

### 303 **Probationary Period**

The *Civil Service Rules for Tompkins County* provide for the following, which is applicable to employees appointed, promoted or transferred pursuant to the Civil Service laws. Additional provisions may also apply in accordance with those rules.

**Purpose of Probationary Period** – The purpose of the probationary period is for an employee to become familiar with the specific duties and responsibilities of the employee's new position. The probationary period also provides the Department Head with an opportunity to evaluate the employee's job performance and potential for development in the position.

**Length of Probationary Period** – Except as otherwise provided in the *Civil Service Rules for Tompkins County*, every permanent appointment from an open-competitive list and every permanent appointment to a position in the non-competitive, exempt or labor class shall be for a probationary term of not less than eight (8) weeks nor more than fifty-two (52) weeks. The length of the probationary period may be extended in accordance with the *Civil Service Rules for Tompkins County*.

**Successful Completion of Probationary Period** – An employee's appointment will become permanent upon written notice that the probationary period has been successfully completed following the minimum period of service required. Or, the employee's appointment will become permanent upon the retention of the employee after completion of the maximum period of service required. **Except as otherwise provided by law, completion of the probationary period does not necessarily confer rights or privileges in the position.**

**Employment Status During Probationary Period** – During the probationary period (at any time after the completion of the minimum probationary period and before completion of the maximum probationary period), an employee will be subject to demotion, suspension, or discharge at the Town's sole discretion. If the performance or conduct of an employee serving a probationary period who has been promoted or transferred from a permanent appointment (as defined by civil service regulations) is not satisfactory, the employee shall be returned to the employee's former permanent position prior to the end of the probationary period.

### 304 **Performance Appraisal**

**Statement of Purpose** – The purpose of a performance appraisal is to evaluate employee performance. The performance appraisal will take into consideration criteria that properly reflects the employee's performance including, but not limited to, the employee's work quality, job knowledge, initiative, attendance, teamwork, conduct, and communication skills. The employee's performance appraisal may be considered in determining a pay increase and/or as a factor in promotion or disciplinary action.

**Frequency** – An employee will be formally evaluated prior to completion of a probationary period and at least once each year thereafter on a date determined by the employee's Department Head. Informal evaluations will occur on an as needed basis throughout the performance cycle.

**Appraisal Meeting** – The evaluator will meet with the employee to review the employee's performance appraisal report (appendix I). Both the employee and the evaluator will sign the report to confirm the appraisal took place which shall include areas of strength and training or development opportunities to improve skills or prepare for promotion.

**Deficiencies** – Should deficiencies be recorded in the performance of the employee, the employee will receive written recommendations for improvement.

**Employee Comments** – An employee's written comments, if any, will be included with the performance appraisal report.

### 305 **Corrective Action and Discipline**

**Policy Statement** – It is the policy of the Town of Ulysses that certain rules and regulations regarding employee behavior are necessary for the benefit and safety of all employees, the efficient operation of the Town, and the delivery of services to residents of the Town. Any conduct that interferes with operations or that discredits the Town will not be tolerated. Each employee must conduct oneself in a positive manner so as to promote the best interests of the Town. Corrective action is necessary when an employee has demonstrated performance deficiencies, or has violated a policy, rule, regulation, or procedure. Corrective action may include counseling or initiating formal disciplinary action against an employee.

**Communication** – Open and candid communications with all employees is an important aspect of the Town of Ulysses' on-going employee relations. When a rule, policy, or procedure is violated, the employee's Department Head, or other designated supervisor, will review the specific nature of the violation with the employee. The employee's input is extremely important to ensure that all of the facts have been considered.

**Counseling** – Counseling employees, as opposed to initiating formal disciplinary action, may be the appropriate first step in addressing performance deficiencies or misconduct. The purpose of counseling is to inform the employee of such deficiencies or misconduct, discourage its recurrence, and inform the employee of the consequences if the behavior is repeated. When performance deficiencies are the issue, the performance standards of the job should be reviewed, along with specific examples of how the employee is not meeting those standards. Where appropriate, goals for improvement may be established, along with a time frame for achieving them. The counseling will be documented in writing and the employee will be required to acknowledge receipt by signing the memorandum. Any employee who fails to follow a supervisor's directive to sign the counseling memorandum to acknowledge receipt will be subject to disciplinary action.

**Discipline** – The purpose of disciplinary action is to impose penalties for performance deficiencies or misconduct. In **normal circumstances**, the Town endorses a policy of progressive discipline which includes, but may not be limited to, documented verbal reprimand, letters of reprimand, suspension without pay, or termination of employment, depending on the circumstances. The Town retains the right to discipline employees without engaging in progressive discipline or prior counseling if the situation so warrants and retains the right to discipline employees in any manner it sees fit.

**Investigations** – Where appropriate, an investigation will be conducted by the proper supervisor or other designated individual(s) in order to gather all pertinent information and to ensure that all the facts are considered. The investigation may include, among other things, interviews with the employee and any witnesses or other involved parties, and review of documents and materials. Employees who are participants in an investigation are not allowed to disclose the content or particulars of the investigation unless otherwise authorized. All employees who are called upon to participate in an investigation are required to fully cooperate in the process and respond truthfully to all questions posed. Failure to do so will subject the employee to appropriate corrective action. The Town reserves the right to suspend an employee while an investigation is conducted.

**Procedures** – Employees covered by **Civil Service Law Section 75** shall be disciplined in accordance with the procedures contained therein. (Refer to Section 306) of this Personnel Policy).

**Prohibited Conduct** – Any employee who, after investigation, is found to have committed any of the actions listed below will be subject to corrective action, up to and including termination of employment. This list is illustrative only and does not limit the Town's right to impose discipline in other appropriate cases.

- Willful violation of Town's rules, policies, and procedures.
- Harassing (including sexual harassment), intimidating, coercing, threatening, assaulting, or creating a hostile environment against another employee, Elected Official, resident of the Town, supplier, visitor, or any other person, whether on or off Town premises.
- Engaging in any action that is in violation of the Town's Workplace Violence Prevention Policy.
- Possession of any weapon or dangerous instrument (including knives with over a three inch blade, firearms, and explosives) on Town property or in Town vehicles, except for those employees who are required as a condition of employment to bear a weapon.
- Possession, use, distribution/sale, or being under the influence of alcohol or controlled substances during hours of work or while on Town property or in Town vehicles.
- Willful or deliberate abuse, destruction, defacement, or misuse of Town property or the property of another employee, Elected Official, resident of the Town, supplier, visitor, or any other person.
- Theft or unauthorized possession, use, or removal of Town property or the property of another employee, Elected Official, resident of the Town, supplier, visitor, or any other person.
- Falsification or alteration of any records or reports including but not limited to employment applications, time records, work records, medical reports, absence reports, work-related injury reports, and claims for benefits provided by the Town.
- Preparation or manipulation of another employee's time record.
- Acts of sabotage, including the work of another employee.
- Making false statements about another employee, Elected Official, resident of the Town, supplier, visitor, or any other person. This includes knowingly making false accusations against another individual as to allegations of discrimination, sexual harassment or other harassment which is in violation of Town policy or applicable law.
- Insubordination or willful refusal to comply with the lawful order or instruction of a supervisor or Department Head.
- Improper performance of job duties or repeated failure to perform assigned duties and responsibilities.

- Violation and/or disregard of safety rules or safety practices, including failure to wear assigned safety clothing or equipment, in such a way that jeopardizes the safety of the employee, another employee, Elected Official, resident of the Town, supplier, visitor, or any other person.
- Offensive or unprofessional behavior that is contrary to the Town's best interest, or any conduct that does not warrant public trust.
- Committing any violation of the law either on or off duty or on or off the work site that implicates the employee's fitness or ability to perform assigned job duties.
- Unauthorized expenditure of Town funds.
- Illegal gambling while on duty.
- Willful work slow-down, work stoppage, or interfering with or restricting the performance of another employee or in any other way interfering with Town operations.
- Careless or negligent use or operation of equipment, including vehicles and machinery.
- Unauthorized absences or repeated failure to give proper notice.
- Excessive tardiness and/or absences except those absences covered by state and/or federal statutes.
  
- Sleeping on the job, unless authorized by a Department Head or supervisor.
- Personal activity during paid work time without the express permission of the Department Head.
  
- Disruptive, behavior in the workplace.
- Abusive language in the workplace, including racial slurs and epithets.
- Posting, removing, or defacing of notices, signs, or other written material without prior approval.

This list is not intended to be comprehensive and does not limit the Town's right to impose discipline in other appropriate cases.

### 306 **Civil Service Law Section 75**

**Summary** – New York State Civil Service Law Section 75 establishes disciplinary procedures for covered employees. Section 75 affords a covered employee the opportunity for a hearing when charges of incompetence or misconduct have been made against the employee by the Town.

**Covered Employees** – In accordance with Civil Service Law, the following employees are generally covered under Section 75:

- A newly hired employee who has not completed the minimum probationary period as determined by civil service rules;
- An employee holding a position by permanent appointment in the **Competitive Class** of the classified Civil Service;
- An employee holding a position in the **Non-Competitive Class** who has been employed for at least five years of continuous uninterrupted service in the non-competitive class, other than a position designated in the Civil Service Rules for Tompkins County as confidential or requiring the performance of functions influencing policy. Even though the employee has completed the required probationary period and has received permanent appointment or employment in the non-competitive class, the employee is not covered under Section 75 until the employee has completed five years of continuous service in the non-competitive class;
- An employee holding a position by permanent appointment or employment in the Exempt, Competitive, Non-Competitive, or Labor Class who is a qualified veteran as defined by the Civil Service Law, or exempt volunteer firefighter, as defined by the General Municipal Law, except when such an employee holds the position of private secretary, cashier, or deputy of any official or department. Specifically, the employee must have been honorably discharged or released under honorable circumstances from the armed forces of the United States having served therein as such member in time of war as defined in Section 85 of the New York State Civil Service Law, or the employee must be an exempt volunteer firefighter as defined in the General Municipal Law.

**Disciplinary Procedure** – The following disciplinary procedure shall apply to employees covered by Civil Service Law Section 75:

- **Notice of Discipline** – An employee subject to discipline will be provided with a written Notice of Discipline (NOD) which will contain all charges and specifications.
- **Employee Answer** – The employee will have eight calendar days to respond to the charges. The employee's response must be in writing.
- **Disciplinary Hearing** – Unless there is a stipulation of settlement between the Town and the employee, the employee is afforded the right to a hearing in accordance with provisions established by Civil Service Law Section 75. The hearing upon such charges shall be held by the officer or body having the power to remove the person against whom such charges are preferred, or by a deputy or other person designated by such officer or body in writing for that purpose.

The Appointing Authority will designate a hearing officer in accordance with Civil Service Law Section 75. The designation must be in writing. The hearing officer will set the time and place for the hearing. The hearing officer will make a record of the hearing which will be submitted to the Appointing Authority, with the hearing officer's recommendations, for review and decision.

**Right to Representation** – The employee may have representation by counsel at the hearing and may summon witnesses on the employee's behalf.

**Suspension Without Pay Pending Determination of Charges** – Pending the hearing and determination of charges, the employee may be suspended without pay for a period not to exceed thirty calendar days.

**Penalties** – In the event the employee is found to be guilty of the charges, the penalty may consist of one of the following:

- Reprimand;
- Fine not to exceed one-hundred dollars which will be deducted from the employee's pay;
- Suspension without pay not to exceed two months;
- Demotion in grade and title; or
- Termination from Town employment.

**Finding of Not-Guilty** – In the event the employee is found to be not guilty of all charges and specifications, the employee will be restored to the employee's position with full pay for the period of suspension less the amount of any unemployment insurance benefits that the employee may have received during such period.

**Limitations** – Notwithstanding any other provision of law, no removal or disciplinary proceeding will be commenced more than eighteen months after the occurrence of the alleged incompetence or misconduct complained of and described in the charges. Such limitation will not apply where the incompetence or misconduct complained of and described in the charges would, if proved in a court of appropriate jurisdiction, constitute a crime.

**Filing Requirements** – In the event the employee is found to be guilty, a copy of the charges, the employee's written answer, a transcript of the hearing, and the determination will be filed in the office of the department in which the employee is employed. A copy will also be filed with the Tompkins County Personnel Department.

### 307 Code of Ethics

**Policy Statement** – Pursuant to the provisions of Section 806 of General Municipal Law, the Town Board of the Town of Ulysses recognizes that there are rules of ethical conduct for public officials and employees which must be observed if a high degree of moral conduct is to be obtained and if public confidence is to be maintained in our unit of local government. It is the purpose of this resolution to promulgate these rules of ethical conduct for the officers and employees of the Town of Ulysses. The rules of ethical conduct of this resolution as adopted, shall not conflict with, but shall be in addition to any prohibition of Article 18 of the General Municipal Law or any other general or special law relating to ethical conduct and interest in contracts of municipal officers and employees.

**Definitions** – For the purpose of the Town of Ulysses Code of Ethics, the following terms are defined:

- **Officer or Employee** – An officer or employee of the Town of Ulysses, whether paid or unpaid, including members of any administrative board, commission or other agency thereof. No person shall be deemed to be a municipal officer or employee solely by reason of being a volunteer firemen or civil defense volunteer, except a chief engineer or assistant chief engineer.
- **Interest** – A pecuniary or material benefit accruing to a municipal officer or employee unless the contract otherwise requires.

**Standards of Conduct** – An officer or employee is subject to, and must abide by, the following standards of conduct:

- A. Gifts** – He/she shall not directly or indirectly (through family members), solicit any gift; or accept or receive any gifts having an annual cumulative value greater than seventy-five dollars and that \$75 referred to is the limit of all permissible gifts to an affected employee or public official regardless of number of such gifts. The gifts could be in the form of money, services, loan travel, entertainment, hospitality, promise or any other form, under circumstance in which it could reasonably be inferred that the gift was intended to influence him/her or could reasonably be expected to influence him/her, in the performance of his/her official duties or was intended as a reward for any official action on his/her part.
- B. Confidential Information** – He/she shall not disclose confidential information acquired by him in the course of his official duties or use such information to further his personal interests.
- C. Representation Before One's Own Agency** – He/she shall not receive, or enter into any agreement, express or implied, for compensation for services to be rendered in relation to any matter before any municipal agency of which he is an officer, member, or employee or of any municipal agency over which he has jurisdiction or to which he has the power to appoint any member, officer or employee.

- D. Representation Before Any Agency for a Contingent Fee** – He/she shall not receive, or enter into any agreement, express or implied for compensation for services to be rendered in relation to any matter before any agency of his municipality, whereby his compensation is to be dependent or contingent upon any action by such agency with respect to such matter, provided that this paragraph shall not prohibit the fixing at any time of fees based upon the reasonable value of the services rendered.
- E. Disclosure of Interest in Legislation** – To the extent that he/she knows. Thereof, a member of the Town Board and any officer or employee of the Town of Ulysses, whether paid or unpaid, who participates in the discussion or gives official opinion to the Town Board on any legislation before the Town Board shall publicly disclose on the official record the nature and extent of any direct or indirect financial or other private interest he has in such legislation.
- F. Investments in Conflict with Official Duties** – He/she shall not invest or hold any investment directly or indirectly in any financial, business, commercial or private transaction, which creates a conflict with his official duties.
- G. Appearance of Impropriety** – A public official or employee must avoid circumstances that compromise his/her ability to make decisions solely in the public interest or create an appearance of impropriety.
- H. Recusal** – A public official or employee must recuse himself when faced with the above conflicts. Recusal means that the official may not deliberate, vote, or participate in any way in such matter. The official should disclose his or her conflict and remove him or herself from the board.
- I. Town Property** – No employee or public official shall use town property or assets for personal purposes or profit or to benefit a private party. Use of town property or assets restricted to the conduct of official business and for the benefit of all residents.
- J. Nepotism** – Spouses and other family members may not serve in positions creating a conflict of interest, the appearance of a conflict or consolidation of power in one board.
- K. Private Employment** – He/she shall not engage in, solicit, negotiate for or promise to accept private employment or render services for private interests when such employment or services creates a conflict with or impairs the proper discharge of his official duties.
- L. Future Employment** – He/she shall not, after the termination of service or employment with such municipality, appear before any board or agency of the Town of Ulysses in relation to any case, proceeding or application in which he personally participated during the period of his service or employment or which was under his active consideration.
- M. Subordinates** – No public official shall solicit political contributions from subordinates.
- N. Incompatible Positions** – A public official is prohibited from [a] holding positions when one is subordinate to the other; and [b] holding positions when the duties of the positions conflict.

**Acknowledgement of Ethics Policy** – Each employee, elected official, or appointed official to the Planning or Zoning Appeals Boards shall be required to understand, acknowledge receipt of, and commit to follow the Code of Ethics by signing the *Code of Ethics Memorandum* (Appendix B) prior to commencing work for the Town.

**Ethics Training** – Periodically the Town will offer training on the Code of Ethics. All new employees, newly elected officials, or newly appointed members of the Planning or Zoning Appeals Boards are required to take the training when offered.

**Right to File Claims** – Nothing herein shall be deemed to bar or prevent the timely filing by a present or former municipal officer or employee of any claims, account, demand or suit against the Town of Ulysses, or any agency thereof on behalf of himself or any member of his family arising out of any personal injury or property damage or for any lawful benefit authorized or permitted by law.

**Penalties** – Any Town of Ulysses employee or public official may submit in writing alleged ethical issues or concerns to the Tompkins County Ethics Board for review and investigation. Infractions of the Code of Ethics that are confirmed by such investigation will be referred to the Town Board for action.

### 308 **Personnel Records**

**Policy Statement** – It is the policy of the Town to balance its need to obtain, use, and retain employment information with a concern for each employee's privacy. To this end, the Town will endeavor to maintain only that personnel information necessary for the conduct of the Town's business or required by federal, state, or local law. Personnel records will be maintained for current and past employees in order to document employment related decisions and comply with government record keeping and reporting requirements.

**Content** – The personnel records maintained by the Town include, but are not limited to, Employment Applications, Report of Personnel Change Forms; copies of job-required licenses and certificates, Federal and State Withholding Tax Forms, Retirement Enrollment/Waiver Forms, Health Insurance Enrollment/Waiver Forms, performance appraisals, grievance or dispute resolution notices, counseling memoranda, notices of discipline, and probationary reports.

**Location of Files** – All original personnel records for current employees will be kept in the Records Room of the Town Hall and will be maintained and controlled by the Town Clerk.

**Employment Eligibility Verification (I-9) Forms** – All Employment Eligibility Verification (I-9) Forms will be kept in a separate file apart from the employee's personnel file.

**Medical Records** – Any employee medical records in the Town's possession that are in Town Hall will be kept in a separate file apart from the employee's personnel file in the Records Room of the Town Hall and will be maintained and controlled by the Town Clerk.

***For security purposes, these files will be locked at all times.***

**Substance Testing Records** – All employee substance testing records will be kept in a separate file apart from the employee's personnel file in the Records Room of the Town Hall and will be maintained and controlled by the Town Clerk. ***For security purposes, these files will be locked at all times.***

**Change in Status** – An employee must immediately notify the Town Clerk of a change of name, address, telephone number, marital status, number and age of dependents, beneficiary designations, and individuals to notify in case of emergency.

**Review of Personnel Files** – Access to personnel files is limited. A current employee may review the contents of the employee's own personnel file by submitting a written request to the Town Clerk and will be scheduled at a mutually convenient time. An authorized official must be present when the employee inspects the file. An employee may not copy, remove, or place any material in the employee's personnel file without the approval of the Town Clerk.

### 309 **Separation from Employment**

**Notice of Resignation (Employees)** – An employee who intends to resign from employment must submit a written resignation to the employee's Department Head at least two weeks before the date of resignation is to be effective. All resignations shall be filed in the Town Clerk's Office.

**Completion of Notice Period** – When a resignation notice is provided by an employee, the Town reserves the right to waive some or all of the notice period.

**Notice of Resignation (Town Officers)** – A Town Officer (as defined by Public Officers Law) must resign by delivering a written notice to the Town Clerk. If no effective date is specified, the office becomes vacant immediately upon delivery of the notice to the Town Clerk. If a Town Officer wishes to resign at some future date, the Town Officer may specify a resignation date. However, if the resignation date is more than thirty days after delivery of the notice to the Town Clerk (ninety days for Justices), the resignation will become effective thirty days after such delivery (ninety days for Justices).

**Notice of Resignation (Town Clerk)** – The Town Clerk who intends to resign must submit a written resignation to the Secretary of State at least thirty calendar days before the date of resignation is to be effective.

**Exit Interviews** – Exit interviews are normally conducted by the appropriate Department Head. The exit interview provides an opportunity to discuss a number of items including employee benefits, COBRA eligibility, changing of computer passwords, and return of Town property. During the exit interview, employees are encouraged to give suggestions, concerns and constructive recommendations.

**Final Paycheck** – Employees receive their final paycheck on the next regularly scheduled payday. The final paycheck includes payment for accumulated vacation benefits, if applicable.

## 400 OPERATIONAL POLICIES

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### 401 *Departmental Hours* (Amended 11/22/2016)

**Normal Hours of Operation** – The normal hours of operation are established by the Town Board at the annual organizational meeting. An employee's Department Head will establish the employee's work schedule, which may differ from the normal hours of operation depending upon the particular needs and requirements of the department. The Town Board reserves the right to approve all employee work schedules, except where otherwise prohibited by applicable State or Town Law.

**Town Hall** – The Town Hall hours of operation are set by resolution of the Town Board at the organizational meeting based on input from each Department Head. Town Hall operational hours are subject to change per resolution by the Town Board.

**Flex-Time** – An employee may begin and/or end a given workday at a time requested by the employee and approved by the Department Head. Such "flex-time" must normally be during the time the department is open and available to the public. The employee's use of "flex-time" will be governed by the mutual needs and consent of the Department Head and the employee. The Town Supervisor and the Town Board reserve the right to approve all "flex-time" schedules.

**Work from home** – For those positions where an employee is not required to be available to the public, an employee may arrange with the Department Head to work from home provided doing so will result in efficient and uninterrupted use of the employee's time and that the employee is available by phone or computer during the agreed upon times.

**Department Head Absences** – Department Heads have duties that may require them to be absent from their offices at certain times during the day. In the event that a Department Head is absent from the office, basic departmental forms should be readily available for distribution and/or collection. It is the Department Head's responsibility to determine what services are to be provided and to schedule coverage of these basic services during scheduled business hours.

**Overtime** – A Department Head may require an employee to work additional hours beyond the employee's normal workday and workweek. An employee must receive prior approval from the employee's Department Head before working additional hours.

**Call-in Time**- A Department Head may call an employee into work from home during non-shift hours for emergencies. See Section 603.

**Compensatory Time** – Employees and Department heads can offer Compensatory Time Off instead of Overtime for Class A, B, and C employees. See Section 602.

## 402 ***Meal and Rest Breaks and Breaks for Nursing Mothers***

**Meal Breaks** – An employee who works more than six hours in a given day will receive an unpaid, duty-free meal break not to exceed sixty minutes.

**Scheduling of Meal Breaks** – Scheduling of meal breaks must be approved by the Department Head in accordance with the needs and requirements of the department. Meal breaks must normally be taken in the middle of the employee's workday. Unless otherwise directed by the Department Head, an employee may leave the work-site during the meal break.

**Observance of Meal Breaks** – In accordance with New York State regulations, an employee who works more than six hours in a given day is required to take a minimum of thirty minute, duty-free, meal break. An employee is not allowed to work through the meal break to make up lost work time or to leave work early. In addition, the meal break may not be taken at the end of an employee's workday in order to leave work before the normal quitting time.

**Rest Breaks** – A full-time employee will normally receive a paid, duty-free rest break of up to twenty minutes to be taken approximately in the middle of the first half of the employee's workday. In the event an employee works beyond the employee's normal workday, the employee will normally receive an additional paid, duty-free rest break of up to twenty minutes to be taken approximately in the middle of each four hours of work that is not interrupted by a meal break. An employee who chooses not to take a rest break will not be entitled to leave before the normal quitting time and will not receive extra pay for the time worked.

**Approval of Rest Breaks** – Rest breaks must be approved by the employee's Department Head in accordance with the needs and requirements of the department.

**Breaks for Nursing Mothers to Express Breast Milk** – Employees who are nursing mothers shall be allowed to use a reasonable break period (generally between twenty to thirty minutes) in addition to the employee's meal and rest breaks to express milk for a nursing child. The Town will provide this break at least once every three hours if requested by the employee. This provision applies to nursing mothers for up to three years following childbirth. The Town will make a reasonable effort to provide a room or location other than the restroom or toilet stall, within walking distance to the employee's work space, or other location in close proximity to work so that nursing mothers can express in private. An employee wishing to avail herself of this break is required to give the Town advance notice, preferably prior to the employee's return to work following the birth of her child, to allow the Town an opportunity to establish a location and to schedule leave time for multiple employees, if needed.

### 403 **Emergency Situations**

**Closing Procedures** – In the event that extraordinary weather conditions or other emergencies develop prior to the beginning of the workday, the Town Supervisor may authorize the closing of non-emergency operations, or, if extraordinary weather conditions or other emergencies develop during a workday, the Town Supervisor may direct that certain employees who perform non-essential services leave work.

**Payment of Wages** – Employee's pay will not be affected by an emergency closing.

**Inclement Weather** – Employees are expected to report to work and remain at work during inclement weather conditions unless otherwise notified by the Town. Employees should use their own discretion in determining whether they can commute safely to work due to inclement weather. When the Town Supervisor has not officially shut down operations, an employee who does not report to work or requests to arrive at work late or leave work early due to inclement weather should notify his or her Department Head prior to doing so. The employee must use paid vacation or personal leave or compensatory time off, if available, or take the time off without pay. If an FLSA exempt employee has no paid leave benefits available, the employee will only be docked if a full workday is taken.

## 404 Time Records

### **Policy Statement** – (amended 4/22/14)

All employees shall turn in a time card by the end of the last day of the pay period. Salaried employees shall do the same stating time used for vacation, sick time, holiday, compensatory time or other time off to maintain accurate records of benefit time used. No pay or benefits will be issued without the presence of a time card with two signatures: the employee and the employee's supervisor. Electronic approval by the employee's supervisor is also allowed. Elected officials wishing to receive health insurance must maintain and submit an accurate, signed record of their time worked by the end of each pay period to the bookkeeper.

**Procedures** – An employee required to complete a time record or punch a time card must comply with the following procedures:

- Time records must be completed by the close of each workday;
- All time worked, including the beginning and ending time, must be recorded;
- All paid and unpaid leaves of absence must be recorded;
- Employees must complete their own time record or punch their own time card;
- The time record must be submitted to the Department Head at the time specified;
- The time record must be verified and signed by the Department Head;

Department Heads must submit completed time records to the Bookkeeper no later than 10:00 am on Monday morning of each pay week, unless modified by the Bookkeeper due to holidays. In the case of a modified date, the Bookkeeper will either notify hourly employees directly or work with the Town Clerk to make notification.

**Correction of Errors** – An employee must immediately bring errors in time records to the attention of the employee's Department Head who will investigate the matter and make and initial the correction once the error has been verified.

**Unauthorized "Flex-Time"** – Unless prior approval has been obtained from the Department Head, arriving early or leaving late for the employee's own convenience is not to be included in working time, provided that the employee performed no duties for the Town during such intervals.

**Falsification of Time Records** – An employee who, after investigation, is found to have falsified or altered a time record, or the time record of another employee, or completed a time record for another employee, will be subject to disciplinary action. In extenuating circumstances where an employee is not able to complete the employee's own time record, the Department Head may complete the time record on behalf of the employee.

#### 405 **Bonding**

**Insurance** – The Town will provide bonding insurance for an eligible employee, elected or appointed official who is required to act in a fiduciary capacity.

#### 406 **Expense Reimbursement**

**Policy Statement** – Upon proper authorization of the Town Board, an employee or Elected Official will be reimbursed for expenses associated with carrying out Town business, including, but not limited to meals, lodging, mileage, parking, highway tolls, and training and membership fees. A voucher with all required documentation and corresponding receipts must be submitted to the Bookkeeper in order for the reimbursement to be processed.

**Expense Approval** – Each employee is expected to exercise reasonable judgment when incurring charges that will be submitted for reimbursement. Prior approval from the Department Head and/or Town Board will be required for significant or non-standard expenditures. The Town Board reserves the right to reject reimbursement requests that are deemed unreasonable or inappropriate.

**Mileage** – Employees or elected officials who are not provided with a Town vehicle may submit mileage for reimbursement for travel done on behalf of the Town. The mileage rate is set annually by resolution of the Town Board at its Organizational Meeting. Employees who are provided with a vehicle may not be reimbursed for mileage unless approved by their Department Head or the Town Supervisor.

**Education and Training** – Upon proper authorization of the Town Board, an employee or board member will be reimbursed for training courses that are directly related to the employee's present job. Employees or Board members must first seek approval from their Department Head or Town Supervisor and the request may not exceed the amount budgeted for that fiscal year without approval from the Town Supervisor.

**Required Membership Fees** – Upon proper authorization of the Town Board, an employee required to hold membership in a professional organization as part of the employee's job will be reimbursed for any required dues and/or fees.

**Association of Towns Meeting** – Upon proper notification to the Town Board, an employee or Elected Official who attends the annual meeting conducted by the Association of Towns will be reimbursed for all reasonable expenses. Delegates to this meeting will be selected by the Town Board.

**Bus Pass** – The Town encourages use of public transportation. A Bus Pass Benefit is available to all Town of Ulysses employees who travel regularly to and from work at any town office (town hall or highway barn) primarily during the regularly scheduled hours of the TCAT bus service. An employee who purchases a bus pass will be reimbursed for 50% of the cost of the bus pass by the Town. Proof of purchase must be provided to the Bookkeeper in order for reimbursement to be issued.

## 407 *Vehicle Usage*

**Policy Statement** – All vehicles and related equipment of the Town of Ulysses are owned and maintained for the purpose of conducting official business of the Town.

**Standards** – For the purpose of compliance with this policy, the following standards must be met at all times:

- Town vehicles and related equipment must remain under the general administrative jurisdiction and direction of the Department Head to which it is assigned.
- Town vehicles are generally assigned to specific Departments for specific purposes and tasks. Said vehicles may occasionally be used by other employees or elected officials of the Town, provided approval by the Head of the Department that is responsible for the vehicle has been received. Town vehicles should generally not be used for any unauthorized purpose nor to conduct personal, private, or non-Town related business.
- Town vehicles must always be operated in a safe and responsible manner and in compliance with all applicable motor vehicle and traffic laws in effect. Employees are responsible for any driving infractions or fines that result from their operation of Town vehicles, and must report them to their Department Head. The Town is responsible and will pay for any fines which would typically be levied against the owner of the vehicle.
- Any accident involving a Town vehicle, regardless of severity, must be reported immediately to the Town Supervisor and Highway Superintendent. If the accident is reported to the Highway Superintendent, the Highway Superintendent must file an accident report with the Town Supervisor's Office within twenty-four hours.
- The use of a cell phone when driving on Town business must be compliant with all applicable laws and/or regulations.
- Town vehicles may not be used to transport persons who are not officials or employees of the Town of Ulysses, nor material not related to the conduct of official Town business, without direct authorization by the appropriate Department Head or the Town Supervisor.
- Town vehicles must always be maintained in a safe and secure condition. Maintenance and NYS inspections for each vehicle are the responsibility of Department that primarily uses the vehicle. Keys are controlled by the appropriate Department Head.
- Departments with assigned vehicles should estimate when the vehicle will need to be replaced. Reserve funds for replacement should be reviewed annually by the Department Head and the Town Supervisor.

## 408 *Driver's License / Insurance Requirements*

**Requirement** – An employee who is required to drive either a Town-owned vehicle or the employee's own personal vehicle to conduct business on behalf of the Town, must possess at the time of appointment, and must maintain throughout employment, a valid New York State driver's license. Proof of such license must be on file with the Town. If a personal vehicle is used to conduct business on behalf of the Town, the employee is responsible for ensuring liability insurance coverage meeting NYS requirements is appropriately maintained.

**Commercial Drivers** – An employee who operates a vehicle which requires a Commercial Driver's License (CDL), must maintain such license throughout employment. Proof of such license must be on file with the Town. In accordance with the federal Commercial Motor Vehicle Safety Act of 1986, a commercial driver must notify the Town within thirty days of a conviction of any traffic violation (except parking), no matter where or what type of vehicle the employee was driving.

**Loss of Driver's License** – An employee who is required to possess a driver's license or CDL license in order to perform certain job duties and responsibilities must immediately notify the appropriate Department Head in the event the license is suspended or revoked. The loss or suspension of the driver's license or CDL license may affect the employee's employment with the Town. The Town will utilize the NYS Department of Motor Vehicles' "License Event Notification Service" (LENS) to monitor activity that may negatively impact an employee's ability to maintain a required license.

**Insurability Standards** – It shall be solely the responsibility of a Town employee to meet or exceed all insurability standards, as established from time to time by the Town Board or the Town's insurance carrier, which are required for the use or operation of a Town vehicle. Any Town employee who has any of the following during the most recent three-year period will be deemed to have violated the insurability standard and will not be allowed to operate any Town vehicles:

- Conviction of DWI / DWAI
- Conviction of Reckless Driving
- Suspended or Revoked Driver's License
- Conviction of Speeding 25 MPH or more above the speed limit
- Conviction of a Drug or Alcohol offense which would materially affect one's ability to operate a vehicle
- Conviction of Hit and Run/Leaving the scene of an accident
- Conviction of Two or more at-fault accidents
- Conviction of Three or more moving violations in the past three years

Failure to maintain acceptable insurability standards may affect the employee's employment status with the Town.

#### **409 *Supplies, Tools and Equipment, and Fuel Usage***

**Supplies, Tools and Equipment** – All Town owned supplies must be used efficiently and not wasted. An employee should not use any Town supplies, tools or equipment including, but not limited to, postage, paper, or office supplies for personal use.

**Fuel** – An employee may not use gasoline, fuel oil, or motor oil purchased by the Town for personal use.

#### **410 Telephone / Cell Phone / Electronic Device Usage**

**Guidelines** – Telephone and cell phone usage must adhere to the following guidelines:

- An employee must give the name of the department or office and one's own name. If the call is not for the employee who answers, the employee must transfer the caller to the correct party or take a message recording all pertinent information.
- An employee may make personal telephone calls, however, such calls should be limited in duration and frequency and must not interfere with the performance of the employee's job duties.
- Use of a cell phone for personal text messaging during work hours should be kept to a minimum.
- The use of Town issued cell phones may be monitored to ensure no excessive or inappropriate use occurs.
- The usage of a cell phone while driving on Town business must be in compliance with all applicable laws.

**Personal Cell Phone / Electronic Device Usage** – Employees are permitted to carry personal cell phones during working hours but must adhere to the guidelines shown below. These guidelines do not apply to Town-owned cell phones that are issued for the specific use of an employee's job duties. Where the term cell phone is used in these guidelines, it shall be considered to include all types of portable electronic devices (e.g. iPads, Kindles, MP3 players, netbooks, etc.)

- Cell phones should not be used for personal purposes during work hours except on a limited basis.
- Use of a cell phone for personal text messaging during work hours is permissible only on a limited basis for family matters; texting must not interfere with the performance of the employee's job duties.
- No web browsing, music, movies, or all other uses of cell phones will be allowed during working hours.

## 411 **Communications**

**Policy Statement** – The purpose of the section is to provide the framework for employee communications whether direct, by phone, or electronic.

### **General Communications:**

The following are prohibited communications by Town employees, appointed and elected officials.

- Disclosing confidential or proprietary information pertaining to matters of the Town that is not otherwise deemed accessible to the general public under the Freedom of Information Law (Public Officers Law Article 6, §§84-90).
- Matters which will imperil the public safety if disclosed.
- Promoting or endorsing any illegal activities.
- Threatening, promoting, or endorsing violence.
- Directing comments, or sharing images that are discriminatory or insensitive to any individual or group based on race, religion, gender, disability, sexual orientation, national origin, or any other characteristic protected by law.
- Knowingly making false or misleading statements about the Town, or its employees, services, or Elected Officials.
- Communications must be done in a professional manner;
- Communications must not be threatening, insulting, obscene, abusive, derogatory, or promote violence;
- Communications must not include content that constitutes workplace harassment including sexual harassment;
- Representing that an opinion or statement is the policy or view of the Town, or of any individual acting in their capacity as a Town employee or official, or otherwise on behalf of the Town, when that is not the case.

## **Electronic Communications (Internet/Social Networking/Emails/Texts/Blogs/etc):**

**Types of Electronic Communications** – Electronic communications (e-communications) include email, texts, blogs, and social media, both inside and outside of the workplace. Social Media in general refers to internet based applications that allow for the creation and exchange of user generated content. Examples of Social Media include, but are not limited to: Facebook, Twitter, MySpace, LinkedIn, Flickr, Imgur, YouTube, web blogs, and web based wikis whereby users can add, modify, or delete its content via a web browser.

**Disclosure of Information** - Employees must bear in mind that e-messages are not private and its source is clearly identifiable. E-messages may remain part of the Town's business records long after they are deleted. Electronic records, including e-messages, are public records subject to state Freedom of Information Law and will be disclosed upon request unless an exemption to disclose is found to apply. In general, e-messages are subject to discovery in civil lawsuits.

**Proper Usage** – In addition to the prohibitions set forth in Section 411 under *General Communications* above, any activities prohibited for any other general computer user are also prohibited with respect to Internet / e-mails/social networks/blogs. Employees are expected to communicate in a manner that will reflect positively on both themselves and the Town. Additionally, it is the responsibility of the employee to adhere to the following requirements. This list is meant to be illustrative, and not exhaustive.

- Communications may not be used to transmit chain letters;
- Employees are responsible for saving any e-messages in accordance to the Freedom of Information Law.
- Communications must not involve personal sales or solicitation or be associated with any for-profit outside business activity;
- Passwords should not be given to anyone other than the employee's Department Head or supervisor;
- Internet must not be used for the propagation of computer viruses;
- Internet must not be used for personal recreational activities (e.g. online games);
- Participation in non-business Internet chat groups, blogging or instant messaging is prohibited;
- As a security precaution, a workstation must not be left signed onto E-mail or the Internet while unattended for a long period of time (or overnight). Each employee must log off the network when not in use and power down at the end of the day;
- Employees should be aware that deletion of any E-mail message or file does not truly eliminate that message or file from the system. All E-mail messages are stored on a central back-up system in the normal course of data management;
- Employees should ensure that no personal correspondence appears to be an official communication of the Town; and
- Employees should avoid using the Town's address for transmitting or receiving personal mail or use the Town's e-mail address for transmitting or receiving personal e-messages.
- No Posting, uploading, or sharing images that have been taken while performing duties as an agent of the Town unless directly pertinent to Town business and such posting,

uploading, or sharing of images is authorized in advance by the appropriate Department Head.

- No Posting anything in the name of the Town or in a manner that could reasonably be attributed to the Town without prior written authorization from the applicable Department Head.
- No Using the name of the Town or a Town e-mail address in conjunction with a personal blog or Social Media account.
- An employee's Social Media usage must comply with Town policies pertaining to but not limited to Non-Discrimination and Harassment, Confidentiality, Violence in the Workplace, and Substance Abuse. Any harassment, bullying, discrimination, or retaliation that would not be permissible in the workplace is not permissible between co-workers online, even if it is done after hours, outside of the workplace, using computers or communication systems that are not Town-owned.

Notwithstanding the above, nothing in this policy is meant to imply any restriction or diminishment of an employee's right to appropriately engage in protected concerted activity under law.

**Reliability** – The Town is not responsible for loss or damage to a user's data or for the reliability of information that is obtained via the Internet service. Also, this information must be used in accordance with applicable copyright laws.

**Reporting of Violations** – Anyone with information as to a violation of this policy is to report said information to the employee's Department Head. Once the employee's Department Head is informed of the violation, a formal process, consistent with this Personnel Policy and/or applicable law, will begin.

**Disciplinary Action** – Any employee who violates this policy will be subject to disciplinary action up to and including termination of employment.

**Usage of Social Media During Working Hours** – Unless the use of electronic communications is pertinent to Town business or authorized by a Department Head, employees are prohibited from using Social Media during working hours. This applies regardless of whether or not such usage occurs on Town-owned computers or communication equipment or a device personally owned by the employee.

**Usage of Social Media During Meal and Rest Breaks** – Employees are responsible for exercising good judgment when using Town-owned computers or communication equipment to access Social Media sites while on a meal and rest break. The Town's right to monitor communication systems and equipment also applies to the use of Social Media if employees are using Town computers or communications systems.

**412 Personal Appearance** (Amended 11/22/2016)

**Policy Statement** – It is the policy of the Town that each employee’s dress, grooming and personal hygiene should be appropriate to the work situation.

**Standards** – An employee must maintain a personal appearance in a manner that reflects a good image to the public. Acceptable personal appearance is an ongoing requirement of employment with the Town.

**Safety Clothing and Equipment** – An employee may be required to wear safety clothing and equipment as directed by the Department Head. If such is the case, the employee must comply with all safety requirements.

**Clothing Allowance** –the Town will provide a monetary clothing allowance for Class A, and B employees of the Highway Department. Those eligible can receive reimbursement of up to \$300 for clothing needed for Town purposes. Clothing and shoes need to comply with safety regulations governing each position. Employees and the Highway Superintendent should submit receipts to the Bookkeeper for reimbursement. Balances do not carry from one year to the next. The amount and frequency of the allowance will be at the full discretion of the Town Board.

**413 Solicitations/Distributions**

**Policy Statement** –With permission from the Department Head, an employee may distribute literature and solicit other employees during meal and rest breaks provided it does not interfere with the normal operations of the department, reduce employee efficiency, annoy fellow employees, or pose a threat to the Town’s security.

#### **414 Disclosure of Information**

**Policy Statement** – The Town of Ulysses promotes open government and complies with all requirements regarding public access to information. However, the Town recognizes that certain documents, records, and other information pertaining to Town operations and activities contain sensitive and confidential information about Town residents and others who do business with or on behalf of the Town and/or its residents. Such information cannot be photocopied, duplicated, discussed, or otherwise disclosed to any outside party except in accordance with the Freedom of Information Law or any other applicable laws and regulations. An employee is also prohibited from sharing or otherwise disclosing such information with other Town employees, family members or friends who do not have a Town business reason to have such information. This includes posting of such information in any public forum (computer or otherwise) or on computer social networking sites (Facebook, etc.)

**Responsibility for Security of Confidential Information** – Elected Officials, Department Heads and employees are responsible for maintaining the security of documents, records and other information that fall within their department operations. Any request from outside parties for disclosure of information under the Freedom of Information Law or any other applicable laws or regulations must be submitted to Records Access Officer using the Town's Freedom of Information Request Form.

**Employee Personal Information** - An employee should never provide a caller or visitor with confidential information regarding employees, including home addresses and personal telephone numbers. An employee should take the person's name and telephone number and inform the caller/visitor that a message will be forwarded to the employee.

#### **415 Purchasing / Credit Cards**

**Policy Statement** – The Town has established an official procurement policy that must be followed without exception. No employee shall make purchases for the Town, or use the Town's name to make purchases, or use a Town credit card or gas card, unless in adherence to the procedures set forth in this policy.

#### **416 Maintenance of Work Area**

**Policy Statement** – It is the policy of the Town that work areas must be kept safe, clean and orderly at all times.

#### **417 Personal Property**

**Policy Statement** – An employee is expected to exercise reasonable care to safeguard personal items brought to work. The Town will not repair, replace, or reimburse an employee for the damage or loss of the employee's personal property. An employee bringing personal property to the workplace does so at one's own risk.

## 418 *Outside Employment*

**Policy Statement** – It is the policy of the Town that an employee may engage in outside work as long as such outside work does not interfere with the employee's performance standards, pose an actual or potential conflict of interest, or compromise the interests of the Town.

**Guidelines** – The following guidelines have been established for an employee who engages in outside work.

- An employee will be judged by the same performance standards and will be subject to the Town's scheduling demands, regardless of any existing outside work requirements.
- An employee's outside work should not interfere with the performance or the ability to meet the requirements of the Town. If it does, the employee may be required to terminate the outside employment if the employee wishes to remain employed by the Town.
- No Town equipment, supplies, or other material may be used by an employee on other than Town work.
- Outside employment that does or may constitute a conflict of interest is prohibited. An employee may not receive any income or material gain from individuals outside of the Town for materials produced or services rendered while performing the employee's Town job.
- An employee may not work on outside employment during any period which the employee is regularly scheduled to work for and is paid by the Town.
- A Town employee who engages in outside work must notify the person for whom the work is being performed that such work is being done on the employee's own time and that the employee is not representing the Town while performing such work.

**Employee Responsibility** – A Town employee who wishes to engage in outside work is responsible for ensuring that the above guidelines are maintained. Questions should be directed to the Department Head.

## 500 ABSENCE POLICIES

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### 501 Attendance

**Tardiness** – An employee must be ready and able to work at the time the employee is scheduled to begin work. In the event an employee is unable to report to work at the scheduled time, the employee must notify the employee's Department Head prior to the employee's scheduled starting time. The reason for tardiness and the expected time of arrival must be indicated to the Department Head.

**Scheduled Absences** – Requests for scheduled time off, such as the use of vacation leave and personal leave, must be approved by the Department Head in advance. All requests for time off are subject to approval by the employee's Department Head on a case-by-case basis. Refer to Section 802, Vacation Leave, and Section wrong, Personal Leave, for further details.

**Daily Notification** – In the event an employee is unable to report to work for an unscheduled reason, the employee must notify the employee's Department Head each day of the absence and state the reason for the absence. If the absence was pre-authorized, this requirement will be waived.

**Unscheduled Absences** – An employee who is unable to report to work must personally make every effort to contact the employee's Department Head prior to the employee's scheduled starting time. If at all possible, the employee must speak directly with the Department Head, indicating the reason for the absence and when the employee expects to return to work. Asking another person to call in on the employee's behalf is not permitted. Notification requirements are waived in cases of emergency.

**Unexcused Absences** – Notification of an absence to an employee's Department Head does not automatically mean the absence is authorized. Any time off from work that is without approval of an employee's Department Head is considered an unexcused absence. An unexcused absence is without pay and may result in disciplinary action, up to and including termination.

**Early Departure** – In the event an employee must leave work during the workday, the employee must either seek permission from the employee's Department Head prior to leaving or record the time left on the time card.

**Documentation of Absences** – An employee may be required to provide appropriate documentation in justification of any absence. Documentation may include medical verification.

## 502 **Jury Duty Leave**

**Jury Leave** - In the event a full-time or part-time employee is required to perform jury duty on a day the employee is scheduled to work, the employee will receive paid jury duty leave. Such leave will not be subtracted from any of the employee's leave credits. An employee is obligated to notify the Commissioner of Jurors that the Town is paying the employee's full pay during jury duty. If the employee receives a jury stipend from the courts, such amount must be reimbursed to the Town. An employee can collect and keep any mileage or parking expense reimbursement that may be issued by the court system for performing jury duty.

The Town shall pay a temporary or seasonal employee for the first three days the employee serves jury duty if on those days the employee is scheduled to work for the Town. After the first three days, the employee may be eligible for a stipend issued by the court system if the employee continues to serve on jury duty.

**Notification of Jury Duty** – When an employee receives notice to report for jury duty, the employee must immediately submit a copy of the notice to the employee's Department Head or Town Supervisor's office.

**Return to Duty** – In the event the employee is released from jury duty on a given day and there are two or more hours remaining in the employee's scheduled workday, the employee must report to work. The employee will be allotted time to return home and prepare for work.

**Accrual of Benefits** – The Town will continue to provide health insurance benefits for an eligible employee during the jury leave. Vacation leave, sick leave and holiday benefits will continue to accrue during jury duty leave.

### 503 **Military Leave and Military Leave of Absence**

**Military Leave (New York State Law)** – This section refers only to a paid leave for military service under New York State Law and does not affect an employee’s entitlement to leave needed for military service under federal statute. The Town of Ulysses recognizes the importance of the Military Reserve and National Guard, and will permit any employee the use of military leave to perform ordered military duty or required training. The Town will grant such leave with pay for up to twenty-two workdays or thirty calendar days in a calendar year, whichever is greater. Such military leave beyond the twenty-two workdays or thirty calendar days in a calendar year will be unpaid, however accumulated vacation leave may, at the employee’s option, be used at any time during the leave. In accordance with applicable New York State law, the employee may keep all pay received for military service.

**Military Leave of Absence (Federal Law)** – An unpaid leave of absence for a period of up to the federal statutory limits will be granted to an employee to serve in any of the Armed Forces of the United States. The employee’s accumulated vacation leave may, at the employee’s option, be used at any time during such leave of absence.

### 504 **Leave for Cancer Screening**

**Policy** – The Town of Ulysses complies with New York State Civil Service Law which entitles all Town employees to paid leave to undertake screening for breast cancer (under §159-b) and prostate cancer (under §159-c) or colonoscopy. This leave will not be charged against any available sick, vacation, personal, compensatory or other leave accruals. This does not preclude an employee’s option to use other available paid leave for this same purpose.

**Allowance** – An employee will be allowed four hours of paid leave per year for the purpose of undergoing a screening procedure for breast cancer, and four hours of paid leave per year for the purpose of undergoing a screening procedure for prostate cancer (male employees only). Such paid leave will be accrued as of January 1 each year. If the employee does not exercise his/her rights to the leave, those hours are not carried forward to the next year. The allowed leave time may include the travel time to and from the appointment and any subsequent follow up consultation visits. In addition, the allowed leave may be staggered throughout the year until the maximum allowance has been reached.

**Scheduling** – An employee must receive prior approval from the employee’s Department Head to take leave for this purpose. The request for leave should be submitted to the Department Head in writing a minimum of two days in advance. The Department Head will have total discretion in the approval of this leave, but will not unreasonably deny such request.

**Documentation Requirements** – If an employee applies for paid leave for a cancer screening procedure under this policy, documentation must be provided to the Department Head from the health care provider verifying that the absence from the workplace was for cancer screening. If an employee uses any other available leave for a cancer screening procedure, the provisions of the applicable leave policy (e.g. sick, personal, vacation, compensatory) will apply; there is no requirement in such a case to provide specific documentation regarding cancer screening.

## **505 Leave for Blood Donations**

**Policy** –The Town of Ulysses complies with New York State Labor Law Section §202-j which entitles Town employees who work an average of twenty hours or more per week to a leave of absence for the purpose of making a blood donation. This leave of absence will not be charged against any available sick, vacation, personal, or other leave accruals. The leave allowed under this policy is unpaid, however, this does not preclude an employee's option to use available paid leave for this same purpose.

**Allowance** – An eligible employee will be allowed a leave of absence of up to three hours per year under this policy. Such leave will be accrued as of January 1 each year. If the employee does not exercise his/her rights to this leave, those hours are not carried forward to the next year. The allowed leave may include the travel time to and from the appointment.

**Scheduling** – An employee must receive prior approval from the employee's Department Head to take leave for this purpose. The request for leave should be submitted to the Department Head in writing a minimum of two days in advance. The Department Head will have total discretion in the approval of this leave, but will not unreasonably deny such request.

## 506 **Bereavement Leave**

**Eligibility** – In the event of a death of a Class “A” or “B” employee’s immediate family member, the employee may take a paid leave for up to three consecutive days from the employee’s regularly scheduled work. Such leave will not be subtracted from any of the employee’s leave credits. A Class “C”, temporary, or seasonal employee is not eligible for paid bereavement leave but may be allowed to take time-off without pay provided the employee has prior approval from the Department Head.

**Definition of Immediate Family** – For purpose of bereavement leave, “immediate family member” will mean the following:

- Spouse
- Parent
- Mother-in-law
- Daughter-in-law
- Grandparent
- Step-parent
- Child
- Sibling
- Father-in-law
- Son-in-law
- Grandchild
- Step-child
- The employee's domestic committed partner\* or the child, parent or other relative (categorized above) of the committed partner

*\*Defined under NYS Human Rights Law §79-n, same-sex committed partners are those who are financially and emotionally interdependent in a manner commonly presumed of spouses*

**Extended Bereavement Leave** – With authorization from the employee’s Department Head, an employee may use vacation leave credits and/or personal leave credits to extend a bereavement leave. The Department Head will have total discretion in the approval of an employee’s extended bereavement leave, based upon the needs of the department.

## 507 **Trumansburg Volunteer Firefighters / Emergency Responders**

**Policy** – In the event an employee is called upon to perform volunteer duties as a Trumansburg firefighter or emergency responder on a day the employee is scheduled to work, the employee will receive paid leave to perform such duties if so authorized. The employee may not leave the workplace until it has been approved by the appropriate supervisor. The paid leave is limited to the extent of the employee’s regular work schedule. Such leave will not be subtracted from any of the employee’s leave credits. Time spent by the employee performing such duties, including driving to and from the scene, will not be included as time worked for purposes of computing overtime. The employee is required to return to the worksite upon completion of the emergency call, unless such call ends after the end of the employee’s scheduled work shift.

**Documentation Requirements** – The employee must account for all time spent responding to emergency calls on the employee’s time sheet, including the time the employee left and returned to the worksite. The employee may be required to submit verification of the employee’s attendance at such call.

**Responding to Calls During Paid Leave** – If the employee is on a paid leave from the Town (i.e. vacation, holiday, sick, personal, etc.) and the employee responds to a call, the  
*Town of Ulysses Personnel Policy*

employee will not receive additional compensation from the Town and the employee's appropriate leave time will still be charged.

## 508 **Family and Medical Leave Policy**

**Policy Statement** – It is the policy of the Town of Ulysses to grant a full-time employee a leave of absence without pay for a period of up to **twelve weeks**, under certain circumstances. If and when any employee meets the eligibility requirements under the *Family and Medical Leave Act*, the provisions of that Act will then take precedence over this policy.

**Granting of Leave of Absence** – The granting and duration of each leave of absence will be determined by the Town Board in conjunction with applicable federal and state laws, including Civil Service Law Sections 71, 72 and 73, as applicable, and the *Civil Service Rules for Tompkins County*.

**Types of Leave** – The following types of leaves of absence will be considered:

- **Sick Leave of Absence** – Employees who are unable to work because of a serious health condition or disability may be granted a sick leave of absence. This type of leave includes disabilities caused by pregnancy, childbirth, or other related medical conditions. The Town requires certification of an employee's need for sick leave, both before the leave begins and on a periodic basis thereafter, by the employee's health care provider.

In addition to leave provided under this policy, employees may be eligible for a leave of absence pursuant to Civil Service Law Section 71. Section 71 provides that **covered** employees shall be entitled to a leave of absence for at least one cumulative year (unless found to be permanently disabled) when disabled due to an occupational injury or disease as defined in the Workers' Compensation Law. This leave runs concurrently with the designated Family and Medical Leave. Employee should consult with their Town Supervisor's office for further details regarding this provision.

- **Parental Leave of Absence** – Female employees, when not disabled by pregnancy or childbirth (see above), and male employees may be granted a parental leave of absence to care for a child upon birth or upon placement for adoption or foster care.
- **Family Care Leave of Absence** – Employees may be granted a family care leave of absence for the purpose of caring for a child, spouse or parent who has a serious health condition. The Town requires certification of the family member's serious health condition, both before the leave begins and on a periodic basis, by the family member's health care provider.

**Eligibility** – To be eligible, an employee must meet the following requirements:

- The employee must have completed at least one year of full-time, continuous service during the previous twelve months prior to the date leave commences.

**Definitions** – For the purpose of this policy, the following definitions will apply:

- **Serious Health Condition** will mean and refer to an illness, injury, impairment, or physical or mental condition that involves inpatient care in a hospital, hospice, or residential medical care facility, or any subsequent treatment in connection with such inpatient care; or continuing treatment by a health care provider, including, but not limited to:
  - \* A period of incapacity of more than three consecutive calendar days and any subsequent treatment or period of incapacity that also involves continuing treatment by a health care provider;
  - \* A period of incapacity due to pregnancy or prenatal care;
  - \* A period of incapacity or treatment for such incapacity due to a chronic serious health condition;
  - \* A period of incapacity which is permanent or long-term due to a condition for which treatment may not be effective; or
  - \* A period of absence to receive multiple treatments, including any period of recovery, by a health care provider, or by a provider of health care services under orders of or on referral by a health care provider, for restorative surgery after an accident or other injury or for a condition that would likely result in a period of incapacity of more than three consecutive calendar days in the absence of medical intervention or treatment.
- **Health Care Provider** will mean and refer to a doctor of medicine or osteopathy who is authorized to practice medicine or surgery by the State in which the doctor practices; or any other person defined in the FMLA regulations capable of providing health care services.
- **Family Member** will mean and refer to:
  - \* **Spouse** – husband or wife as defined or recognized under State law for purpose of marriage; or committed domestic partners who are those who are financially and emotionally interdependent in a manner commonly presumed of spouses.
  - \* **Parent** – biological parent or an individual who stands or stood in *loco parentis* to an employee when the employee was a son or daughter as defined directly below. This term does not include an employee's parents "in law".
  - \* **Child** – biological, adopted or foster child, a stepchild, a legal ward, or a child of a person standing in *loco parentis*, who is either under age eighteen, or age eighteen or older and "incapable of self-care because of a mental or physical disability". Persons who are "*in loco parentis*" include those with day-to-day responsibilities to care for and financially support a child or, in the case of an employee, who had such responsibility for the employee when the employee was a child. A biological or legal relationship is not necessary.

**Notification Requirements** – If the need for leave is foreseeable, the employee must give notice, in writing, to the Department Head at least thirty calendar days prior to the commencement date of the unpaid leave. The employee and Department Head must complete the Leave of Absence Request Form and forward the completed form to the Town Supervisor for review. The failure of an employee to give thirty days' notice of foreseeable leave with no reasonable excuse for the delay may result in the delay of the employee taking the leave until thirty days from the date of notice. When the need for leave is unforeseeable, verbal notice to the employer will be sufficient.

**Status Reports** – The employee must periodically update the Department Head as to the employee's status and intent to return to work.

**Medical Certification** – The employee must produce a medical certification issued by a health care provider which supports the need for a leave under this policy. When required, the employee must provide a copy before the leave begins, or if the leave was unforeseeable, no later than fifteen calendar days from the date the certification was requested. Failure to submit medical certification may jeopardize the employee's eligibility for an unpaid leave of absence and/or the ability to return to work. The medical certification must include:

- The date the medical condition began;
- The probable duration of the medical condition;
- Pertinent medical facts; and,
- An assertion that the employee is unable to perform the employee's essential job functions or that the employee is needed to care for a family member for a specified period of time.

*The Town of Ulysses reserves the right to request a second opinion by another health care provider. The Town will pay for the second opinion. In the event a conflict occurs between the first and second opinion, the Town may, again at its own expense, obtain a third opinion from a health care provider approved jointly by the Town and the employee. This third opinion will be final and binding.*

**Leave for the Birth, Adoption or Foster Care Placement of a Child – Leave for the birth of a child or the placement of a child for adoption or foster care must conclude within twelve months from the date of the birth or placement.**

**Certification for Adoption/Foster Care** – An employee must produce proper certification from the appropriate agency for an unpaid leave for the adoption or foster care of a child.

**Employment Restrictions During Leave of Absence** – While on an approved unpaid leave, the employee may not be employed by another employer during the same hours that the employee was normally scheduled to work for the Town of Ulysses.

**Benefits During a Leave of Absence** – For the purpose of this policy, the following will apply:

**Use of Accrued Paid Leave Credits** – An employee taking leave for the **birth, adoption or foster placement of a child or to care for a spouse, child or parent with a serious health condition** may use all vacation leave credits in addition to the maximum twelve weeks of leave.

For leaves taken due to the **employee's own serious health condition**, the employee must first use all sick leave and vacation leave credits, which will be included in the maximum twelve weeks of leave. However, in the event that the paid leave credits available are greater than the maximum twelve-week period, an employee may use paid leave credits to extend the leave of absence beyond the twelve-week period, **up to a maximum of one year**. If, after the completion of the one-year leave of absence, the employee is medically unable to return to work (as determined by a health care provider) and the employee has leave credits remaining, the Town Board may authorize an extension of the employee's leave of absence until such benefits are exhausted. However, **job reinstatement** beyond the one-year leave of absence **is not automatic** and will be dependent upon job availability, in accordance with Civil Service Law Sections 71, 72 and 73, as applicable, and the *Civil Service Rules for Tompkins County*.

- **Accrual of Paid Leave Credits** – An employee will continue to accrue vacation and sick leave and receive holiday pay during the portion of the leave that is paid. **Paid leave is defined as leave during which the employee continues to use accumulated paid vacation and sick leave.** After all such paid leave is exhausted, the remaining leave of absence is unpaid. An employee will not earn paid vacation or sick leave or receive holiday pay for any holidays that may occur during an unpaid leave of absence.
- **Medical Insurance** – During the period of authorized leave under this policy, up to a maximum of twelve weeks, an employee's eligibility status for medical insurance coverage will not change. All employee contributions (if any) must be paid on a timely basis in order to maintain the continuous coverage of benefits. Contributions will be at the same level as if the employee was working. Coverage will cease if payments are not made within a thirty-calendar day grace period of the due date. Premium payments or policy coverage are subject to change. In the event the employee fails to return to work after the authorized leave of absence period has expired, provisions of COBRA (see Section 710) will apply. In addition, the Town may recover the premium that it paid for maintaining the coverage during any period of the unpaid leave except for the following circumstances:
  - \* The continuation, recurrence, or onset of a serious health condition of the employee or the employee's eligible family member with proper medical certification; or,
  - \* Circumstances beyond the employee's control, such as: parent chooses to stay home with a newborn child who has a serious health condition; employee's spouse is unexpectedly transferred to a job location more than 75 miles from the employee's work-site; the employee is laid off while on leave.

**Return to Work** – The following conditions for returning to work will apply:

- **Job Restoration** – At the conclusion of the leave of absence, (except for leaves beyond a one-year period) the employee, provided that the employee returns to work immediately following such leave, will be restored to the position the employee held when the leave began, or an equivalent position with equivalent benefits, pay and working conditions. For an authorized leave of absence beyond the one-year period, job restoration will be dependent upon job availability, in accordance with Civil Service Law Sections 71, 72 and 73, as applicable, and the *Civil Service Rules for Tompkins County*.
- **Medical Statement** – Before resuming employment, an employee must submit a statement from the employee's health care provider indicating that the employee is able to return to work either with or without restrictions. The Town reserves the right to have the employee examined by a physician selected and paid for by the Town to determine the employee's fitness to return to work either with or without restrictions. Failure to return to work when required may be considered a voluntary termination.
- **Early Return** – An employee who intends to return to work earlier than anticipated must notify the Department Head at least five business days prior to the date the employee is able to return. The Department Head shall in turn notify the Town Supervisor.
- **Extension of Unpaid Leave of Absence** – An employee who wants an extension of the leave previously requested must notify the employee's Department Head at least two business days from the date the change occurred which necessitates the change in leave time. The Town Board reserves the right to approve all leave extensions or authorizes the Supervisor to do so.

**Statement of Compliance** – The Town of Ulysses complies with the provisions of the Family and Medical Leave Act (FMLA).

#### **509 Leave to Vote** (*Amended 11/22/2016*)

An employee is allowed up to 2 hours of paid leave to vote in local, state, or federal elections

## 600 COMPENSATION

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### 601 *Wage and Salary*

**Rate of Pay** – An employee’s rate of pay will be established by the Town Board.

**Merit Increases** – An employee may receive a merit pay increase or bonus based upon the employee’s past performance. The merit increase will be granted at the discretion of the Town Board and will be based on a written performance review by the Department Head.

**Cost of Living Increases** – An employee, or employees may receive pay increases based upon an increase in the cost of living. The cost of living increase will be granted at the full discretion of the Town Board.

### 602 *Overtime / Compensatory Time* (amended 4/28/15, 12/8/15, 12/14/15, 11/22/16)

**Authorization** – A Department Head may require an employee to work additional hours beyond the employee’s normal workday and workweek. An employee must receive prior approval from the employee’s Department Head or supervisor before working additional hours above the standard work day or work week if claiming overtime or compensatory time.

**Record-keeping** - Recordkeeping of compensatory time begins each year on January 1. An employee must use all compensatory leave credits within the calendar year in which they are earned. Records of compensatory time will be kept by the employee on a town time sheet showing a running total of hours accumulated and hours used. Compensatory time is calculated based on the sum of hours worked in a 2-week pay period. Each time sheet must be approved by the employee’s supervisor, and submitted to the Town Supervisor’s office to be filed. It is the responsibility of the Department Head to ensure that no employee exceeds the compensatory time limit.

**Compensation time cap** - Employees may accumulate up to, but not more than, a maximum of ten days of compensatory time annually.

#### **Compensation Time in adherence to Federal Labor Standards Act Classifications**

**FLSA Non-Covered and Exempt Employees** – In accordance with the Fair Labor Standards Act, FLSA non-covered and exempt employees will not be paid for overtime but may receive “compensatory time” for hours worked in excess of the employee’s normal payperiod for Class A, B, and C employees.

Exempt employees will receive compensatory time at their regular hourly pay for hours worked over their standard work week.

**FLSA Non-Exempt Employees (Employees working 40 hours per week)** – In accordance with the Fair Labor Standards Act, an FLSA non-exempt employee will be paid one and one-half times the employee’s regular hourly rate of pay for all

authorized time worked over eight hours in a given work day and/or forty hours in a given workweek.

A non-exempt employee may wish to receive compensatory time in lieu of overtime. The option to grant compensatory time is at the discretion of the employee's Department Head.

When a non-exempt employee chooses to receive compensatory time and it is approved, the employee will be credited with the equivalent of one and one-half hours for all authorized time worked over forty hours in a given workweek. An employee may accumulate up to ten standard work days in compensatory time credits. An employee must use all compensatory leave credits within the calendar year in which they are earned.

**FLSA Non-Exempt Employees (Employees Working <40 Hours per week) –**

The above policy for non-exempt employees working 40 hours per week applies for non-exempt employees working <40 hours per week except for the following: Non-exempt employees working a standard work week of less than forty hours will be compensated for overtime at their regular rate of pay or can receive one hour of compensatory time for every hour worked up to forty hours in a given work week.

Compensatory time for non-exempt employees working less than 40 hours is prorated for Class B, and C employees. For example an employee working thirty hours per week is eligible to accumulate and use 10 six hour days of compensatory time annually. For each extra hour worked over the employees normal work hours, the employee can take an equal amount of compensatory time up to 40 hours.

**Overtime:** See section 401.

**Termination from Employment** – An employee whose employment with the Town is terminated will receive cash payment for unused compensatory credits to which the employee is properly entitled at the employee's then current rate of pay.

### **603 Call-In Pay**

Employees who are called in to work outside their normal shift will be guaranteed a minimum of three (3) hours of regular pay, except if any call-in hours duplicate those hours used for calculating overtime pay in accordance with the Fair Labor Standards Act (ie hours over 40) shall not be paid twice. Staying late to work overtime is not considered call –in time.

Travel time- Employees called in on an emergency will be compensated for actual travel time to and from work for a maximum of thirty (30) minutes each way.

### **604 Pay Period and Check Distribution**

**Payroll Period** – Normally, employees are paid on a bi-weekly basis. An employee's paycheck will be based on the amount earned during the preceding payroll period. Certain employees may be paid on a different schedule as established by the Town Board.

**Payday** – Under normal circumstances, paychecks will be issued no later than Wednesday. In the event the payday is a designated holiday, paychecks will be distributed on the following workday.

**Distribution** – The Bookkeeper will deliver paychecks to the Department Heads and the Town Clerk for further distribution to employees.

**Direct Deposit** – The Town provides a direct deposit option for employees. If elected, the paycheck will be deposited directly into the employee's account at the designated financial institution. The employee must submit a signed, written authorization for direct deposit to the Bookkeeper.

**Authorized Check Release** – The Department Head will not release a paycheck to anyone other than the employee unless the employee has submitted a signed, written authorization with the Bookkeeper.

### **605 Payroll Deductions**

**Statutory Deductions** – The required portion of an employee's pay for federal and state taxes, and any other deduction required by law, will be deducted from the employee's paycheck. Such deductions will be noted on the paycheck.

**Voluntary Deductions** – Payroll deductions provided through the Town's payroll system will be made from an employee's paycheck when authorized by the employee. Such deductions will be noted on the paycheck.

**606 Deferred Compensation Plan**

**Summary** – The Town of Ulysses has established a Deferred Compensation Plan whereby a portion of an employee’s salary may be voluntarily withheld and invested. The money saved is paid out to the employee at a later date, generally during retirement years. Neither the deferred amount nor earnings on investments are subject to current Federal and State Income Taxes. Taxes become payable when the deferred income plus earnings are distributed to the employee, presumably at retirement when the tax bracket may be lower. A description of the plan may be obtained from the Bookkeeper.

## 700 EMPLOYEE BENEFITS

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### 701 *Holidays* (Amended 11/22/16)

**Designated Holidays** – The Town of Ulysses currently observes the following holidays:

1. New Year's Day	7. Columbus Day
2. Martin Luther King Day	8. Veterans Day
3. Presidents' Day	9. Thanksgiving Day
4. Memorial Day	10. Day after Thanksgiving
5. Independence Day	11. Christmas Day
6. Labor Day	*Floating Holiday

*\*Class A, and B employees are credited with one floating holiday each January 1 which must be used during that calendar year. A newly hired Class A or B employee will be granted a floating holiday for use during the first calendar year of employment only if the employee's date of hire is prior to July 1. An employee must receive prior approval from the employee's Department Head to take the floating holiday.*

**Eligibility** – Class A and B employees are eligible for holiday pay at the employee's regular rate of pay. Class C employees paid on a salary basis will receive their regular pay during a pay period in which a holiday occurs. Holiday pay will be based upon the employee's scheduled hours on the day the holiday occurs.

**Holiday Pay** – Class A and B employees are eligible for holiday pay at the employee's regular rate of pay prorated for part time employees for each of the designated holidays shown above.

**Holiday Day** – For purposes of this policy, a "holiday day" shall be defined as the total number of paid hours an employee normally works on the day of the week the holiday is observed.

**Holiday Observance** – In the event a designated holiday occurs on a Saturday, the holiday will be observed on the preceding Friday. In the event a designated holiday occurs on a Sunday, the holiday will be observed on the following Monday.

**Assigned to Work on a Holiday** – Class A and B FLSA non-exempt employees who are required to work on a designated holiday will receive holiday pay plus wages at double time the employee's regular rate of pay. A Class C, temporary, or seasonal employee who works on a designated holiday will be paid at the employee's regular rate of pay.

## 702 **Vacation Leave** (Amended 4/22/14, 12/8/15, 11/22/16)

**Eligibility** – Class A and B employees are eligible for paid vacation leave in accordance with this policy. Class C, temporary and seasonal employees are not eligible for paid vacation leave but may be allowed to take time-off without pay provided the employee has prior approval from the Department Head.

**Work Day** – For purposes of this vacation leave policy, a “work day” shall be defined as the total number of paid hours an employee is scheduled to work in a workweek, divided by five, rounded up to the nearest half hour. For example: A work day for an employee who is paid for a 35 hour workweek shall be considered to be 7.0 hours; a work day for an employee who is paid for a 32 hour workweek shall be considered to be 6.5 hours. Employees may work a flexible schedule with permission of the Department Head.

**Allowance** – Class A and B C employees will be credited with paid vacation leave in accordance with the vacation schedule below on an accrual basis. An employee may take vacation leave only after it has been accrued. Vacation leave accrual will be recalculated on the employee’s anniversary date of hire.

<b>Years of Service:</b>	<b>Vacation Leave</b>
0 – 1 year	See “New Employees”
1-2 years	10 work days
2-3 years	12 work days
3-4 years	13 work days
4-5 years	14 work days
5-6 years	15 work days
6-7 years	16 work days
7-8 years	17 work days
8-9 years	18 work days
9-10 years	19 work days
10-20 years	20 work days
Over 20 years	25 work days

**New Employees** – A newly hired Class A and B employee will accrue one half day of vacation leave for every month of employment, up to a maximum of six days.

**Continuous Service** – Service periods shown above refer to “Continuous Service”, which shall mean uninterrupted service. An authorized leave of absence without pay, or a resignation followed by reinstatement within one year following such resignation, shall not constitute an interruption of continuous service. However, the duration of the absence from work without pay will be excluded from the computation of length of continuous service. Vacation is earned only for monthly pay periods during which an employee is in full pay status for at least fifteen working days during such monthly pay period.

**Scheduling** – An employee must receive prior approval from the employee’s Department Head to take vacation leave. Vacation leave credits may not be used in increments of less than one hour. The Department Head will have total discretion in the approval of vacation leave.

**Accumulation** – An employee may accumulate vacation leave credits up to a maximum of two times the employee’s applicable yearly accumulation. For example, an eligible employee with five years of service may accumulate a maximum of thirty days of vacation leave. Elected officials are not eligible for this paid time off benefit.

**Holiday During Scheduled Vacation** – In the event a designated holiday occurs on an employee’s normal workday and the employee is on paid vacation, the employee will receive holiday pay for the day and the employee’s vacation leave credits will not be charged for that day.

**Separation of Employment** – An employee who resigns, retires or is laid off will receive cash payment for unused vacation leave to which the employee is properly entitled at the employee’s then current rate of pay. To be eligible to receive this payment, an employee who is to resign or retire must give written notice at least two weeks in advance of the last day of employment as well as have maintained a bi-weekly timesheet with their supervisor’s signature. In the event an employee leaves employment due to disciplinary action, the employee will not receive a settlement for unused vacation leave. In cases of death of an employee, the Town will pay the employee’s estate for any unused vacation leave. Elected officials are not eligible for this paid time off benefit.

### 703 **Sick Leave** (Amended 4/22/14, 12/8/15, 11/22/16)

(also see Section 508 – family and medical leave policy)

**Eligibility** – Class A and B employees are eligible for paid sick leave in accordance with this policy. Class C, temporary, and seasonal employees are not eligible for paid sick leave.

**Work Day** – For purposes of this sick leave policy, a “work day” shall be defined as the total number of paid hours an employee is scheduled to work in a workweek, divided by five, rounded up to the nearest half hour. For example: A work day for an employee who is paid for a thirty-five hour workweek shall be considered to be seven hours; a work day for an employee who is paid for a thirty-two hour workweek shall be considered to be six and a half hours.

**Allowance** – An eligible employee will be credited with the equivalent of one work day of paid sick leave each month. An employee may take sick leave only after it has been credited. The employee will be credited upon the last posting of the last payroll of the month in which the sick leave has been earned.

**New Employee** – A newly hired employee will begin to accumulate sick leave upon hire. Sick leave credits are eligible to be used only after they have been earned.

**Notification of Sick Leave** – In the event an employee must take sick leave, the employee must notify the Department Head prior to the employee’s scheduled reporting time. The notification must be made personally to the Department Head, unless the Department Head authorizes the use of an answering device for this purpose. Unless an extended sick leave absence has been authorized, the employee must notify the employee’s Department Head each day of the absence. These procedures must be followed to receive paid sick leave.

**Proper Use of Sick Leave** – Sick leave is provided to protect an employee against financial hardship during an illness, injury, or medical procedure. An employee may use sick leave credits for a personal illness, injury, or medical/dental appointment that inhibits the employee’s ability to work. Sick leave credits may not be used in increments of less than 1/2 hour. An employee may take sick leave only after it has been credited.

**Family Sick Leave** – An employee may use up to five days of sick leave credits annually for family illness or injury if the employee must provide direct care to an immediate family member. Such leave will be subtracted from the employee’s accumulated sick leave credits. For purpose of family sick leave, “immediate family member” will mean the employee’s parent, spouse/domestic partner or child, including step-child and foster child. See also Section 508.

**Accumulation** – An employee may accumulate sick leave credits to a maximum of one hundred and twenty work days. It is important to recognize that paid sick leave can provide income protection and continued medical insurance coverage in the event the employee is unable to work for a long period of time due to illness or injury. Therefore, each employee should take care to manage the use of sick leave to ensure adequate time is available should such a need arise. Sick leave is tracked and reported on each employee’s paycheck based on an employees approved time sheet. Elected officials are not eligible for this paid time off benefit.

**Medical Verification** – The Town may require medical verification of an employee's absence if the Town perceives the employee is abusing sick leave, or has used an excess amount of sick leave, or when an employee is absent for more than five consecutive workdays due to an illness or injury. If an employee is on an authorized leave of absence, the provisions of the Family and Medical Leave Policy in this Personnel Policy shall apply.

**Abuse of Sick Leave** – An employee who, after investigation, is found to have abused the use of sick leave or falsifies supporting documentation, will be subject to disciplinary action.

**Separation of Employment** – An employee whose employment with the Town is terminated due to a resignation, death or disciplinary discharge will not receive cash payment for unused sick leave. An employee who retires from the Town (i.e. has applied for and been granted a bona-fide retirement benefit from the New York State Employees' Retirement System) may apply the dollar value of up to one hundred and twenty days of accumulated sick leave (based upon the employee's rate of pay on the date of retirement) towards the cost of the retired employee's portion of the health insurance premium and when Medicare eligible, this would apply to the Medicare supplemental. To be eligible to receive this payment, the retiree must give written notice at least two weeks in advance of the last day of employment as well as have maintained a bi-weekly timesheet with their supervisor's signature. Elected officials are not eligible for this paid time off benefit.

## 704 **Personal Leave**

**Eligibility** – Class A and B employees are eligible for paid personal leave in accordance with this policy. Class C, temporary, or seasonal employees are not eligible for paid personal leave.

**Work Day** – For purposes of this personal leave policy, a “work day” shall be defined as the total number of paid hours an employee is scheduled to work in a workweek, divided by five, rounded up to the nearest quarter hour. For example: A work day for an employee who is paid for a 35 hour workweek shall be considered to be 7.0 hours; a work day for an employee who is paid for a 32 hour workweek shall be considered to be 6.5 hours.

**Allowance** – An eligible employee will be credited with three work days of paid personal leave on an annual basis. The employee will be credited each year on their anniversary date. An employee may take personal leave only after it has been credited.

**New Employee** – A new employee will be credited with three work days of paid personal leave after the completion of six months of continuous service or completion of their probationary time, whichever is less, as specified in Section 303 *Length of Probationary Period*. Thereafter, the employee will be credited with three work days of paid personal leave on each subsequent anniversary date.

**Proper Use of Personal Leave** – An employee may use personal leave credits to conduct personal business which cannot be conducted outside of normal working hours, non-emergency medical and dental appointments, and for personal reasons. Personal leave credits may not be used in increments of less than one hour.

**Scheduling** – An employee must receive prior approval from the employee’s Department Head to take personal leave. The Department Head will have total discretion in the approval of personal leave.

**Accumulation** – An employee may not accumulate personal leave credits. Any personal leave credits remaining unused as of the employee’s anniversary date will not roll forward.

**Separation of Employment** – An employee whose employment with the Town is terminated for any reason, including retirement, will not receive cash payment for unused personal leave.

## 705 **Disclosure of Insurance Benefits**

**Summary** – The following is a brief description of the insurance benefits currently offered by the Town to eligible employees. Eligibility for benefits is dependent upon a variety of factors, including employment classification and length of service. The description of the benefits provided is only an overview. The plan documents or specific government regulation provide a full description of the specific benefit.

**Plan Administrator** – The Town Clerk serves as the Administrator of the Town's benefits plans. The Administrator is responsible for all communications and disclosures concerning Town benefits and is available to answer questions concerning the benefit plans. A description of each of the plans may be obtained from the Town Clerk.

**Plan Documents** – Benefits are administered according to applicable government regulation, benefit plan documents, insurance carrier master policy, or Town policy. Should there be a discrepancy between the information presented in this Personnel Policy and the benefit plan document, the Town Board has the discretionary authority to determine eligibility for benefits and to interpret the plan's terms. The Town Board is responsible for compliance with all applicable laws and regulations. The Town Board may, at its discretion, change carriers and/or offer alternative insurance plans.

**Changes in Benefits** – Any benefit offered by the Town to employees or Elected Officials is subject to change or discontinuance by resolution of the Town Board.

**Waiver of Benefits** – An employee who is eligible to participate in any of the available insurance plans but who elects not to participate must sign an appropriate waiver of enrollment form.

**Enrollment Information** – The Town Clerk will provide the employee with the enrollment forms and assist with the administrative and operational aspects of the various insurance plans. Enrollment in a benefit plan is not automatic. Employees must complete the appropriate enrollment forms and applicable payroll deduction authorizations in order to receive benefits.

**Changes in Status** – Employees whose status changes from one class to another are notified of the changes to their Town benefits. This notification contains all legally mandated information regarding applicable benefits, including COBRA health insurance continuation. An employee must immediately notify the Town Clerk in the event that the employee has a change in marital or family status that may affect coverage, such as marriage, divorce, legal separation, death of a spouse or dependent, acquiring or losing a dependent, or changes in address.

**Beneficiary** – Under some of the Town's benefit plans, each employee must designate a beneficiary for the employee's death benefits. This designation must be made in writing and on the form provided by the plan Administrator.

## 706 **Medical & Vision Insurance** (Amended 4/22/14, 12/8/15, 11/22/16)

**Eligibility** – The Town will make available medical insurance coverage to each Class A and B employee and their eligible family members. Certain Elected Officials, as designated in section 103 and 104 will also be eligible for medical insurance coverage. These Elected Officials will be deemed eligible for medical insurance coverage based on the number of hours worked. A Class C, temporary or seasonal employee is not eligible for this plan.

**Vision Insurance** – The Town medical insurance includes vision coverage.

**When Coverage Begins** – Coverage will begin on the employee's first day of employment or for Elected Officials, the first day of office, provided all eligibility requirements of the insurance plan are met.

**When Coverage Ends** – Coverage ends on the last day of the month in which the employee separates from employment or the Elected Official ends elected service. Coverage may continue for such eligible employees and Elected Officials in accordance with COBRA regulations. Coverage will continue for eligible retirees in accordance with Town policy and plan documents.

**Premium Payment** – The amount of the insurance premium an employee or Elected Official is required to contribute is as follows

### Premium Reimbursement Payments

Table 706 B

<b>Employee Class</b> (Hours/week)	<b>Rate of Contribution</b>	
	<b>Town</b>	<b>Employee</b>
A (30 or more)	90%	10%
B (20 or more, <30 )	50%	50%
C (<20)	0%	100%

**Pre-Tax Insurance Premiums** – The employee's contribution towards the health insurance premium will be paid with pre-tax dollars. Deductions are taken from the employee's paycheck before federal, state, and social security taxes are calculated. This reduces the employee's taxable income and increases net take-home pay.

### **Health Savings Accounts** (added 11/22/16)

The IRS allows employers, and eligible employees, to contribute to HSAs. The Town will contribute to a health savings account (HSA) for each employee who is eligible and signed up for health insurance through the town. Annually, the town board will contribute the full amount of the in-network deductible, provided that the deductible does not exceed 44% of

the in-network, out-of-pocket maximum. Employees or Elected Officials may contribute pre-tax dollars to their HSA in addition to funds the town has contributed up to the combined allowable limit as determined by the IRS. Funds belong to the employee or elected official, subject to IRS regulations.

**Coverage for Active Employees When Medicare Eligible** – Permanent Employees or Elected Officials as well as dependent(s) who are eligible for Medicare must enroll in both Medicare Part A and Part B during the open enrollment period each year. The individual employee is responsible for Medicare enrollment. The Town will pay for the approved Medicare Supplemental Insurance for the Medicare eligible employee or Elected Official at the rate specified on tables 706 A and B. There will be no reimbursement for Part A. The Town will pay the percentage specified on tables 706 A and B of the employee's dependents policy for the employee's or Elected Official's dependent(s) should the dependent(s) be ineligible for Medicare, the percentage specified on tables 706 A and 706 B of a Medicare Part B and Medicare Supplemental insurance plan for the dependent(s) who are/is eligible for Medicare.

## **707 Medical Insurance for Retirees** (Amended 4/22/14, 12/8/15, 12/14/15)

**Definition of Retirement** – Retirement is defined as the day when the employee begins to collect retirement from the New York State Local Retirement System.

**Coverage** – The Town currently offers medical insurance coverage to an eligible Class A, B and C employee who retires from the Town. Coverage is also currently available for eligible dependents (includes spouse) if they were covered under the Town’s medical insurance plan at the employee’s date of retirement. In the event the retiree predeceases the dependents, the dependents may continue medical insurance coverage provided they pay the full cost of the premium. Coverage of a dependent at the time of divorce or legal separation is in accordance with COBRA requirements.

**Coverage for Medicare Eligible Retirees-** Town provides Medicare Supplemental Insurance at the percentage rates listed in the table below. Per insurance company requirements, the retiree and/or eligible dependent must enroll in both Medicare part A and part B to be eligible for this coverage. Coverage includes prescriptions for employee and Medicare eligible spouse. The retiree is required to pay his or her share of the Medicare Supplemental insurance (based on the table 707 A on the next page) on a quarterly basis. The Town will not pay for Part A or Part B, even if the employee was enrolled in Medicare as an active employee.

**Eligibility and Premium Payments**– To be eligible for coverage, the retired employee must meet the requirements set forth in the table below. Coverage for retirees less than 65 years of age includes dependents who were covered under insurance before retirement. Employees must register for Medicare as their primary insurance at age 65. If an employee is eligible for Medicare and their dependents are not, dependents that were on the employee’s insurance plan at the time of retirement are eligible for the insurance provided through the Town at the same level of coverage the employee is entitled to. An employee who leaves employment due to disciplinary action is not eligible for medical insurance or prescription drug coverage for retirees. These eligibility requirements are subject to change by resolution of the Town Board. Current retirees are eligible to continue to receive the benefits that were in force when they retired from the Town.

### **Medicare Supplemental Plan**

The Town Board will annually approve the Medicare Supplemental Plan to be used. If the retiree elects to use a different plan, the Town will provide a reimbursement equivalent to the dollar value the retiree is eligible for based on the Town Board approved plan. The retiree must provide proof of payment on a quarterly basis in order to receive the reimbursement. If the retiree chooses a plan that is more expensive than the approved plan, the Town is not responsible for reimbursement for anything but the equivalent dollar value the retiree is eligible for. If the retiree chooses a plan that is less expensive than the approved plan, the Town will only reimburse the amount of that less expensive plan.

**Changes in Premium Contributions and Plan** – The Town Board understands that part of retirement planning is based on the contribution the town will make to health insurance costs and will take this into consideration if any changes to this policy should be necessary.

Table 707A

Time Period and Eligibility		Town/ Employee Share of Insurance Premium			
		For Non-Medicare Eligible ( <b>&lt;65</b> )		For Medicare Eligible* ( <b>65+</b> )	
		% Town pays	% Employees	%Town pays	% Employee
<b>From Jan. 2015 – Dec. 2019:</b>					
At age 60 with 30 years of service. Employee Class:	A	90	10	90	10
	B	50	50	50	50
At age 60 with 25 years of service. Employee Class:	A	85	15	85	15
	B	50	50	50	50
At age 60 with 20 years of service. Employee Class:	A	80	20	80	20
	B	50	50	50	50
<b>Starting January 2020:</b>					
<b>Payments by:</b>					
		% Town pays	% Employees	%Town pays	% Employee
At age 60 with 30 years of service. Employee Class:	A	80	20	50	50
	B	50	50	40	60
At age 60 with 25 years of service. Employee Class:	A	75	25	50	50
	B	45	55	40	60
At age 60 with 20 years of service. Employee Class:	A	70	30	50	50
	B	40	60	40	60

\* Town provides Supplemental Insurance including prescription coverage for employee and Medicare eligible spouse.

## 708 **Dental Coverage**

**Eligibility** – The Town currently offers a dental and vision plan to Class A and B employees and Elected Officials as well as retirees and dependents that retired as a Class A and B employee and are eligible for health insurance per table 707 A. Class C employees are not eligible for these plans.

**When Coverage Begins** – Coverage will begin on the first day of the month following the completion of one month of employment or term, provided the employee meets all eligibility requirements of the plans.

**Employee Premium Contribution** – The Town will pay 50% of the premium for an individual or family dental or vision plan for a Class A or B employee or Elected Official as well as eligible retirees and dependents. Class C employees will be eligible to enroll in the Town's individual or family dental or vision plans but will be responsible for the full premium payment. Any required premium payments may be deducted made on a pre-tax basis in accordance with Section 125 of the Internal Revenue Code.

**Changes in Premium Contributions** – The amount of the insurance premium an employee or Elected Official is required to contribute is subject to change by resolution of the Town Board. The Town Board will provide a 12-month written notice of such change.

## 709 **Continuation of Health Insurance Benefits (COBRA/NYS Continuation Coverage)**

**Summary** – The federal Consolidated Omnibus Budget Reconciliation Act of 1985 (COBRA) offers “qualified beneficiaries” the right to continue existing health insurance coverage, completely at their own expense, under certain qualifying conditions. **All required premiums and administrative fees must be paid in a timely manner in order for coverage to continue.**

**NYS Continuation Coverage** – For purposes of this policy, references to COBRA will be considered to incorporate the requirements for “Continuation Coverage” set forth in NYS Insurance Law, which provides enhancements over and above the provisions of COBRA.

**Eligibility** – An individual is a “qualified beneficiary” if the individual is covered under a group health plan on the day before a qualifying event as either a covered employee or Elected Official, the spouse of a covered employee or Elected Official, or a dependent child of a covered employee or Elected Official. A child who is either born to or who is placed for adoption with the covered employee or Elected Official during a period of COBRA coverage is also a “qualified beneficiary” entitled to COBRA coverage.

**Period of Coverage** – COBRA coverage is in effect for a period of up to **thirty-six months**, following any qualifying event. The COBRA requirements do not put any limit on the number of times a qualified beneficiary may be entitled to COBRA continuation coverage.

**Qualifying Events** – If a qualified beneficiary loses coverage under a group health plan as a result of a “qualifying event,” the qualified beneficiary is entitled by COBRA to the continuation of group health insurance coverage at the qualified beneficiary’s own expense. Any of the following circumstances are considered to be qualifying events:

- Termination of the covered employee’s (or Elected Official’s) employment for any reason except gross misconduct, or the covered employee’s loss of eligibility to participate due to reduced work hours.
- When a covered employee is on a leave of absence due to military service obligations.
- Death of a covered employee or Elected Official.
- Divorce or legal separation from a covered employee or Elected Official.
- A covered dependent ceases to be a “dependent child” under the health insurance plan.
- A covered dependent child’s loss of eligibility to participate in the insurance plan due to the covered employee or Elected Official becoming covered by Medicare as a result of total disability or choosing Medicare in place of the insurance plan at age sixty-five.

**Change in Beneficiary Status** – An employee or Elected Official must notify the Town Clerk within sixty calendar days of a legal separation or divorce or when a dependent is no longer eligible for insurance due to the age limitations or educational status requirements established by the insurance plan. The Town will not be responsible for any loss of

coverage resulting from failure by the employee or Elected Official to give notification of such an event.

**Enrollment Information** – The Town Clerk will provide the employee or Elected Official with the enrollment forms and assist with the administrative and operational aspects of COBRA. Enrollment is not automatic. The employee or Elected Official must complete the necessary enrollment forms and return all COBRA forms to the within Town Clerk the time indicated. If the required forms or premium payments are not received at the time specified, medical insurance coverage will cease.

## 710 **Short-Term Disability Benefits**

**Eligibility** – Class A and B employees are currently provided with short-term disability coverage in accordance with this policy. A Class C, temporary, or seasonal employee is not eligible for paid short-term disability coverage. This benefit is to supplement loss of time from work due to a qualified non-job related illness or injury.

**Summary** - The Town currently offers a short-term disability plan for non-job-related injuries or illnesses that meets the minimum requirements of New York State Disability Insurance. The insurance company makes the determination of whether an employee is eligible for short-term disability benefits. Disability payments will be in accordance with the terms of the policy. The duration of disability benefits is dependent upon a physician's certification and consistent with the terms of the policy and may continue up to a maximum of twenty-six weeks. An employee generally receives 50% of the employee's average weekly wages based on the previous eight weeks of employment, up to a maximum of \$340 per week. There is no coverage for medical care.

**Waiting Period** – There is a 7-day waiting period for which no benefits are paid. Benefits start on the eighth consecutive day of disability.

**When Coverage Begins** – Coverage will begin on the employee's first day of employment, provided the employee meets all eligibility requirements.

**Disability Payments** – Disability payments will be in accordance with the terms of the policy. The duration of disability benefits is dependent upon a physician's certification and consistent with the terms of the policy. There may be a waiting period before an employee can become eligible for disability payments. Full details regarding the policy currently in effect are available from the Town Clerk.

**Premium Payment** – The Town will pay the full premium for short-term disability coverage for each eligible employee.

**Reporting of Illness or Injury** – The employee must submit a written report of the illness or injury on the proper application form (Appendix J) to the employee's Department Head and the Town Supervisor as soon as possible upon becoming aware of the need to apply for short-term disability benefits. The Town Supervisor will provide the employee with the necessary forms. Proper medical certification will be required and must be submitted with the application form.

**Use of Sick Leave Credits** – An employee may draw from the employee's sick leave credits in conjunction with disability payments to equal, but not exceed, the employee's regular daily rate of pay.

**Medical Insurance Coverage** – The Town will continue medical insurance coverage for the employee in accordance with the provisions of the Family and Medical Leave Policy in this Personnel Policy.

## **711 Workers' Compensation Benefits**

**Coverage** – The Town will make available Workers' Compensation benefits, including payment of medical costs and replacement of lost wages up to the regulated maximum, to each eligible employee who suffers an accidental injury arising out of and in the course of employment, as determined by the Workers' Compensation Board. Eligibility for coverage is determined by applicable Workers' Compensation regulations.

**When Coverage Begins** – Coverage will begin on the employee's first day of employment, provided the employee meets all eligibility requirements.

**Premium Payment** – The Town will pay the full premium for Workers' Compensation coverage for each eligible employee.

**Reporting of Injury** – The employee must report any accidental injury arising out of and in the course of employment to the Department Head immediately after the occurrence of the injury. The Department Head will notify the Town Supervisor who will complete and submit the required forms. The employee must also complete an "Employee Claim Form C-3" and submit it directly to the Workers' Compensation Board. The Town Supervisor will provide the employee with the necessary form.

**Use of Sick Leave Credits** – An employee may draw from the employee's sick leave credits in conjunction with Workers' Compensation payments to equal, but not exceed, the employee's regular daily rate of pay.

**Medical Insurance Coverage** – The Town will continue medical insurance coverage for the employee in accordance with the provisions of the Family and Medical Leave Policy in this Personnel Policy.

## **712 Unemployment Benefits**

**Coverage** – The Town will make available unemployment benefits to each employee ruled eligible for benefits under New York State labor law.

## **713 Social Security**

**Summary** – Social Security benefits are available for retirement, survivor's benefits, and medical costs under qualifying conditions, as determined by the Federal Social Security Administration Office. Employee contributions to Social Security (FICA) are matched by the Town.

## 714 ***The New York State Employees' Retirement System***

**Summary** – The Town will make available the New York State Employees' Retirement System pension plan to each eligible employee and Elected Official. An employee or Elected Official is eligible for service retirement benefits after completion of the required minimum period of creditable public sector service (either five or ten years depending on tier.) <http://www.osc.state.ny.us/retire/>

**Mandatory Membership** – A full-time employee who began employment with the State of New York or with a participating employer, on or after July 27, 1976, must join the Retirement System. An employee who is appointed to a permanent, full-time position on a probationary basis must join the Retirement System on the effective date of the probationary appointment. Employment is considered full-time unless:

- The employee works less than thirty hours per week, or less than the standard number of hours for full-time employment as established by the employer for this position; or
- The annual compensation for the position is less than the State's minimum wage multiplied by 2,000 hours; or
- Duration of employment for less than one year or employment on less than a 12 month per year basis; or
- The position is either provisional or temporary under Civil Service Law.

**Optional Membership** – An employee or Elected Official who is not mandated to join may join the Retirement System. Such employee or Elected Official will be informed, in writing, that the employee or Elected Official may join the Retirement System and will acknowledge receipt of such notice by signing a copy thereof and returning it to the Town Supervisor. If the employee or Elected Official elects to join the Retirement System, the employee or Elected Official must complete the application form and return it to the Town Supervisor (Appendix H).

**Waiver of Enrollment** – An employee who is not mandated to join the Retirement System, and who chooses not to join, must complete a waiver of enrollment form (Appendix G).

## 800 COMPLIANCE POLICIES

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### 801 *Equal Employment Opportunity*

**Policy Statement** – The Town of Ulysses is an Equal Opportunity Employer. The Town does not unlawfully discriminate on the basis of race, religion, color, sex, age, national origin, citizenship, disability, marital status, pregnancy, application to or present membership in the uniformed services, veteran status, arrest or conviction record, genetic information, predisposition or carrier status, sexual orientation, domestic violence victim status, or any other protected class or status. Likewise, the Town prohibits employees, Elected Officials, vendors, suppliers, visitors, customers, and any other non-employee from discriminating against Town employees based on these protected groups. Discrimination based on any of the above is strictly prohibited. This policy applies to all terms and conditions of employment, including, but not limited to, hiring, placement, compensation, promotion, transfer, training, leave of absence, and termination.

**Notification of Policy Violations** – An employee should immediately report any perceived violation of this policy to the employee's Department Head. In the event the employee is unable to discuss this matter with the Department Head, the complaint should be reported in writing to the Town Supervisor or any member of the Town Board, or to the Tompkins County Human Rights Department. All complaints of discrimination will be investigated discreetly and promptly. This procedure is not intended to restrict an individual's rights to make a complaint to a federal or state agency. An employee who reports discrimination will not suffer adverse employment consequences as a result of making the complaint.

**Prohibition Against Retaliation** – Retaliation against any employee who brings a written or verbal complaint of discrimination or who assists or participates in the investigation of such a complaint is strictly prohibited. The Town will not tolerate or permit adverse treatment of employees because they report discrimination or provide information related to such complaints, or who otherwise oppose an unlawful employment practice. Any employee who participates in the procedure may do so without fear of retaliation. Violations of this policy may result in disciplinary action up to and including termination of employment.

**Application of Policy** – This policy is for Town use only and does not apply in any criminal or civil proceeding. This policy shall not be construed as a creation of higher legal standard of safety or care in an evidential sense with respect to third party claims. Violations of this policy will only form the basis for Town administrative action. Violations of law will form the basis for civil and criminal sanctions in a recognized judicial setting.

## 802 *The Americans with Disabilities Act*

**Policy Statement** – It is the policy of the Town of Ulysses to comply fully with the provisions and spirit of the Americans with Disabilities Act and ensure equal employment opportunity for all qualified persons with disabilities. All employment practices, such as recruitment, hiring, promotion, demotion, layoff and return from layoff, compensation, job assignments, job classifications, paid or unpaid leave, fringe benefits, training, employer-sponsored activities, including recreational or social programs, will be conducted so as not to discriminate unlawfully against persons with disabilities. This also extends to prohibit unlawful discrimination based on a person's relationship or association with a disabled individual. Qualified individuals with disabilities are entitled to equal pay and other forms of compensation (or changes in compensation) along with work assignments, classifications, seniority, leave, and all other forms of employment compensation or advantage.

**Reasonable Accommodation** – Reasonable accommodation is available to all qualified employees and applicants with disabilities, unless it imposes an undue hardship on the Town and/or operations of a program. The Town may require medical documentation or other information necessary to verify the existence of the disability and the need for accommodation. Following receipt of an accommodation request, the Town will meet with the requestor to discuss and identify the precise limitations resulting from the disability and the potential accommodation(s) that the Town might make to help overcome those limitations.

The Town will determine the feasibility of the requested accommodation considering various factors, including, but not limited to the nature and cost of the accommodations(s), and the accommodation's impact on Town operations.

**Pre-Employment Inquiries** – Pre-employment inquiries are made only regarding an applicant's ability to perform the duties of the position and not any disabling condition. Pre-employment physical exams will only be requested when in compliance with the law. The Town of Ulysses intends to base employment decisions on principles of equal employment opportunity and nondiscrimination, as defined by law.

**Notification of Policy Violations** – An employee should immediately report any perceived violation of this policy to the employee's Department Head. In the event the employee is unable to discuss this matter with the Department Head, the complaint should be reported in writing to the Town Supervisor or any member of the Town Board. All complaints of possible violations will be investigated discreetly and promptly. An employee who reports a possible violation will not suffer adverse employment consequences as a result of making the complaint. This procedure is not intended to restrict an individual's rights to make a complaint to a federal or state agency.

**Application of Policy** – This policy is for Town use only and does not apply in any criminal or civil proceeding. This policy shall not be construed as a creation of higher legal standard of safety or care in an evidential sense with respect to third party claims. Violations of this policy will only form the basis for Town administrative action. Violations of law will form the basis for civil and criminal sanctions in a recognized judicial setting.

## **803 Non-Discrimination and Harassment (Including Sexual Harassment) in the Workplace**

**Policy Statement** – It is the policy of the Town of Ulysses to promote a productive work environment and to prohibit conduct by any employee that disrupts or interferes with another’s work performance or that creates an intimidating, offensive, or hostile work environment. In keeping with this goal, the Town of Ulysses is committed to educate employees in the recognition and prevention of workplace discrimination and harassment, including sexual harassment, and to provide an effective means of eliminating such discrimination and harassment from the workplace. Any conduct that discriminates against, denigrates or shows hostility or aversion towards a person on the basis of gender, race, color, national origin, religion, disability, pregnancy, age, marital status, veteran status, military status, genetic predisposition, sexual orientation, domestic violence victim status, or any other protected status is strictly prohibited. In short, the Town will not tolerate any form of discrimination or harassment, including sexual harassment, and will take all steps necessary to prevent and stop the occurrence of such harassment in the workplace. The accompanying complaint procedure is intended to provide an effective mechanism for reporting, and resolving promptly, complaints of discrimination and harassment, including sexual harassment, without any risk of repercussion to an employee who, in good faith, files such complaint.

**Applicability of Policy** – This policy applies to all Elected Officials, employees, supervisors, and Department Heads, whether employed full or part-time, temporary or seasonal, whether elected or appointed and regardless of compensation level, and all personnel in a contractual relationship with the Town. Depending on the extent of the Town’s exercise of control, this policy may be applied to the conduct of non-Town employees with respect to harassment of Town employees in the workplace.

**Supervisory Responsibility** – Department Heads and supervisory personnel are responsible for ensuring a work environment that is free from discrimination and harassment, including sexual harassment. Supervisors must take immediate and appropriate corrective action when instances of discrimination or harassment come to their attention in order to assure compliance with this policy.

**Prohibited Activity** – No employee shall either explicitly or implicitly ridicule, mock, deride, or belittle any person. Employees shall not make offensive or derogatory comments based on race, color, sex, religion, national origin, or any other protected status either directly or indirectly to another person. Employees shall not produce offensive or inappropriate written materials or electronic communications (e.g. letters, e-mail or text messages, or graffiti.) These are examples of harassment that are a prohibited form of discrimination under State and Federal employment law and also considered misconduct subject to disciplinary action by the Town; it is not intended to be a comprehensive list and does not limit the Town’s ability to take disciplinary action in other appropriate instances.

**Definition of Sexual Harassment** – This policy places special attention on the prohibition of sexual harassment in the workplace. Sexual advances that are not welcome, requests for sexual favors, and other verbal or physical conduct of a sexual nature constitute sexual harassment when:

- Submission to such conduct is made, either explicitly or implicitly, a term of condition of an individual's employment; OR
- Submission to or rejection of such conduct by an individual is used as the basis for employment decisions, such as promotion, transfer, or termination, affecting such individual; OR
- Such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile or offensive working environment.

Sexual harassment refers to behavior that is not welcome, that is personally and objectively offensive, that fails to respect the rights of others, that lowers morale and that, therefore, interferes with an employee's work performance and/or effectiveness or creates an intimidating, hostile or offensive working environment. Exposure to such conduct that serves to alter the terms and conditions of employment is prohibited by this policy and state and federal law.

**Forms of Sexual Harassment** – Specific forms of behavior that the Town of Ulysses considers sexual harassment and which are prohibited include, but are not limited to, the following:

- Quid pro quo threats or promises by a supervisor (loss of job or promise of job, promotion, or other employment benefit).
- Verbal harassment of a sexual nature related to an employee's gender, including sexual innuendoes, slurs, sexual slurs, suggestive, derogatory, insulting or lewd comments or sounds, whistling, jokes of a sexual nature, sexual propositions and/or threats.
- Sexually oriented comments about an employee's body that are unwelcome and/or unreasonably interfere with an employee's work performance or create an intimidating, hostile, or offensive working environment.
- Any sexual advance that is unwelcome or any demand for sexual favors.
- Sexually suggestive written, recorded or electronically transmitted material, showing or displaying pornographic or sexually explicit objects or pictures, graphic commentaries, leering or obscene gestures in the workplace such that it unreasonably interferes with an employee's work performance or creates an intimidating, hostile, or offensive working environment.
- Physical contact of any kind which is not welcome, including touching, grabbing, hugging, fondling, jostling, petting, pinching, coerced sexual intercourse or sexual relations, assault or intentional brushing up against a person's body.

**Reporting of Discrimination and Harassment (including Sexual Harassment) –**

Employees are encouraged to report incidents of harassment (including sexual harassment) to their Department Head and/or Town Supervisor as soon as possible after their occurrence. If the employee's Department Head is believed to be involved in the incident, or if the employee is not comfortable in addressing the matter with the Department Head, the report should be made directly to the Town Supervisor. If the Town Supervisor is the source of the alleged harassment, the employee should report the problem to a Town Board member or to the Tompkins County Human Rights Department. Employees who believe they have been discriminated against or harassed and would like to obtain guidance as to how to proceed in filing a complaint, should contact their immediate supervisor, their Department Head, the Town Supervisor, or any member of the Town Board. Employees who work during off-hours are encouraged to contact their supervisor, their Department Head, the Town Supervisor, or any member of the Town Board at home if these individuals do not work during the employee's shift.

**Discrimination and Harassment (including Sexual Harassment) Complaint Form –** To ensure that all discrimination and harassment complaints are managed appropriately, effectively and in accordance with the Town's policy, discrimination and harassment complaints, including sexual harassment complaints, will be recorded in writing by using the Town sanctioned Complaint Form. These forms can be obtained from the Town Supervisor's Office. If needed, additional guidance can be obtained from the Town Supervisor's Office.

**Confidentiality –** Complaints of harassment will be handled and investigated promptly and in a manner that is as impartial and confidential as possible. In no event will information concerning a complaint be released by the Town to third parties or to anyone within the Town employment who is not directly involved in the investigation or handling of the complaint unless otherwise required by law.

**Investigation of Complaint –**The Town Supervisor will convene with the Town Board in executive session to determine the appropriate individual(s) to conduct the investigation. The investigation will normally include conferring with the parties involved and any named or apparent witnesses. The particular facts of the allegation will be examined individually, with a review of the nature of the behavior and the context in which the incident(s) occurred. Any complaints received will be investigated promptly, thoroughly, and in as impartial a manner as possible. All employees are required to cooperate in an investigation, if so directed.

**Employee or Elected Official Defense –** Any employee or Elected Official charged with discrimination or harassment, including sexual harassment, will be afforded a full and fair opportunity to offer and present information in their defense. Such information will be confidential to the extent possible.

**Employee Rights –** Nothing in this policy should be construed as in any way limiting employees' rights to file a formal complaint with appropriate state or federal agencies responsible for administering anti-discrimination laws.

**Disciplinary Action** – Any employee or official who is found to have committed an act of workplace discrimination or harassment, including sexual harassment, will be subject to disciplinary action, up to and including termination of employment, as provided by Town operating procedures, including Civil Service Law Section 75. Any Elected Official who violates this policy will be subject to remedial action as provided for and/or allowed under NYS Public Officers Law, as well as any other applicable statutes. Any vendor, supplier, visitor, customer, or other non-employee who violates this policy will be subject to remedial action, to the extent that the Town is empowered to take such action.

**Prohibition Against Retaliation** – Retaliation against any employee who brings a written or verbal complaint of discrimination or harassment or who assists or participates in the investigation of such a complaint is strictly prohibited. The Town will not tolerate or permit adverse treatment of employees because they report discrimination or harassment or provide information related to such complaints. Any employee who participates in the procedure may do so without fear of retaliation. Violations of this policy may result in disciplinary action up to and including termination of employment.

## 804 *Violence in the Workplace*

**Policy Statement** – The Town of Ulysses is committed to providing its employees with a work environment that is safe, secure, and free from violence. The Town also considers the safety of its residents, vendors, contractors, and the general public (collectively referred to as “visitors”) to be of paramount importance and strives to provide them the same type of protections while on Town property.

**Workplace Violence Prevention Program** – In accordance with the New York State Workplace Violence Prevention Act (NYS Labor Law §27-b), the Town of Ulysses has developed a Workplace Violence Prevention Program. As a part of this program, the Town conducted a comprehensive risk evaluation of the entire workplace and will conduct annual reviews to identify risk factors that may increase the likelihood of workplace violence and implement appropriate measures to minimize or eliminate these hazards. In order to achieve this goal, the Town encourages the participation and cooperation of employees and their authorized employee representative(s).

**Prohibited Conduct** – The Town has zero tolerance for violence of any kind in the workplace, including but not limited to, physical assault (e.g., hitting, pushing), threatening, intimidating, or aggressive behavior, or verbal abuse or harassment. In addition, employees and visitors are prohibited from possessing firearms or weapons (e.g., guns, knives, explosives, and other items with the intention to inflict harm) in the workplace, even if licensed to carry the weapon. The only exceptions are law enforcement and authorized security personnel. An employee who has knowledge that a coworker or visitor possesses a weapon on Town property must report this to a Department Head or Town Supervisor immediately.

For the purpose of this program, the workplace is defined as any location away from an employee’s home, either permanent or temporary, where the employee performs any work-related duty in the course of employment. This includes, but is not limited to, Town-owned buildings and surrounding perimeters, parking lots, work sites, clients’ homes, and traveling to and from work assignments.

**Reporting Requirements** – Any incident of workplace violence or imminent danger must be promptly reported to a Department Head, Town Board Member, or as outlined in the program manual.

**Policy Violations** – Violations of this policy will result in appropriate remedial, disciplinary, and/or legal action, according to the circumstances.

**Prohibition Against Retaliation** – An employee will not be subject to criticism, reprisal, retaliation, demotion, discrimination, disciplinary action, or other adverse employment action for making a good faith report of acts pursuant to this program.

The Town of Ulysses’ **Workplace Violence Prevention Contact Person** is the **Town Supervisor**.

## 805 ***Drug-Free Workplace / Drug Free Awareness Program***

**Policy Statement** – It is the policy of the Town of Ulysses that the unlawful manufacture, distribution, dispensation, possession, or use of an illegal controlled substance as defined in the Federal Drug-Free Workplace Act, is prohibited on the job or at the workplace.

**Coverage** – The Town of Ulysses' Drug-Free Workplace Policy pertains to all individuals who are employed by the Town of Ulysses.

**Compliance with Federal Drug-Free Workplace Act** – The Federal Drug-Free Workplace Act of 1988 is applicable to all recipients of Federal grants. In order to receive federal funds, the Town must certify to the g

ranting Federal agency that it will provide a drug-free workplace in accordance with the legislation. As a recipient of Federal grants, the Town hereby complies with the requirements of the Drug-Free Workplace Act by adopting this policy and drug-free awareness program:

**Prohibited Conduct** – No employee shall use, sell, distribute, dispense, possess, or manufacture any alcoholic beverage, illegal drugs, or any other intoxicating substance, nor be under the influence of such, while on duty, at any job site or workplace, or in a Town vehicle, a vehicle leased for Town business, or a privately owned vehicle being used for Town business. An employee who, after investigation, is found to have violated this prohibition may be referred for counseling or rehabilitation and satisfactory treatment and will be subject to criminal, civil and disciplinary penalties, up to and including termination of employment. Any work-related accident or injury involving a Town vehicle, equipment, and/or property where it can be demonstrated that the use of alcohol, illegal drugs, or any other intoxicants may have been a contributing factor will result in disciplinary action which may include penalties up to and including termination of employment.

**Use of Prescription and Over-the-Counter Drugs** – Prescription drugs must be in the possession of the individual to whom the prescription was written, taken in the dosage prescribed, and maintained in their original containers. Employees in public safety or safety-sensitive positions must inform their supervisors of any prescription or legal, nonprescription (i.e., over-the-counter) drugs they are currently taking that could in any way affect or impair the employee's ability to perform the job safely. The legal use of prescribed and over-the-counter drugs is permitted on the job only if it does not impair an employee's ability to perform the job safely and if it does not affect the safety or well being of other individuals in the workplace.

**Non-Discrimination Policy** – The Town of Ulysses will not discriminate against an applicant or employee because of past substance abuse provided it can be demonstrated that the applicant/employee has received appropriate treatment and tests negative for controlled substance use. It is the current use of alcohol and controlled substances that will not be tolerated in the workplace.

**Employee Assistance** – It is the policy of the Town to work with an employee suffering from substance abuse so that the employee will receive assistance necessary to overcome dependency. An employee seeking such assistance is encouraged to contact the employee's Department Head or the Town Supervisor to discuss the situation before

problems begin to surface in the workplace. Any disclosures made by an employee will be treated as strictly confidential to the greatest extent practicable. The employee's decision to seek assistance will not be used as the basis for disciplinary action nor used against the employee in any disciplinary proceeding.

**Employee Responsibilities** – As a condition of the Town receiving Federal grant monies, each employee must abide by this policy and notify the employee's Department Head of any criminal drug statute conviction for a violation occurring in the workplace within five calendar days of the conviction.

**Town Responsibilities** – The Town will notify the granting federal agency within ten days after receiving notice from an employee of such a conviction or otherwise receiving actual notice of such conviction. In addition, within thirty calendar days of receiving notice of a conviction, the Town will take disciplinary action against the employee and/or require such employee to satisfactorily participate in a drug abuse assistance or rehabilitation program pursuant to Sections 702 and 703 of the Drug-Free Workplace Act.

**Drug-Free Awareness Program** – It is the policy of the Town of Ulysses to maintain a drug-free workplace. In accordance with that policy, the Town is providing the following drug-free awareness information to raise employee awareness of the dangers associated with drug abuse in the workplace.

#### **Dangers of Drug Abuse in the Workplace**

Employees with chemical dependence problems have a major negative impact on productivity, staff morale, and labor/management relations. Their hidden illness is responsible for:

1. Declining Performance
  - poor concentration
  - confusion in following directions
  - noticeable change in the quality of work
  - inability to meet deadlines
  - errors in judgment affecting the health and safety of others
  - customer complaints and injuries
2. Increased Costs
  - five times the average sick and accident benefits
  - higher job turnover, replacement and training costs
  - greater workers' compensation and health insurance payments
  - 3 to 5 times more on-the-job accidents
  - unemployment claims
3. Absenteeism and Tardiness
  - double the normal rate
  - repeatedly being late for work and often leaving early
  - extended lunch hours
  - frequent illness and accidents both on and off the job

#### 4. Damaged Relationships

- emotional outbursts, over-reaction to criticism, mood swings, complaints from co-workers, associates and the public often leading to damaged relations

## **806    *Controlled Substance and Alcohol Testing***

**Statement of Compliance** – The Town Board has adopted a Controlled Substance and Alcohol Testing Policy that is in compliance with the "Omnibus Transportation Employee Testing Act of 1991" (OTETA). The purpose of this policy is to reduce accidents resulting from an employee's use of controlled substances and alcohol, thus reducing fatalities, injuries and property damage.

**Covered Employees** – The Town's Controlled Substance and Alcohol Testing Policy applies to all covered drivers as defined by the federal regulations, which includes all employees who drive commercial motor vehicles (as defined in Sec. 382.107 of the OTETA) requiring a commercial driver's license to operate.

**Acknowledgment Form** – A covered employee will receive a written copy of the Controlled Substance and Alcohol Testing Policy and must sign an Employee Acknowledgment Form. This form will be placed in the employee's personnel file. See (Appendix C).

## **807    *Smoking***

**Policy Statement** – In accordance with the NYS Clean Indoor Air Act, it is the policy of the Town to prohibit smoking in the workplace, which includes all Town buildings and all Town vehicles. In addition, the Town prohibits the use of tobacco products in any form from all Town owned properties including all indoor and outdoor spaces and vehicles owned by, or under the jurisdiction of, the Town of Ulysses.

## 900 SAFETY

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### 901 *Workplace Safety*

**Policy Statement** – Prevention of injury and illness in the workplace requires the cooperation of all employees in all safety and health matters. It is the policy of the Town to reduce the number of workplace injuries and illnesses to an absolute minimum. Accidents can be prevented through use of reasonable precautions and the practice of safe working habits.

**Employee Responsibility** – In an effort to protect all employees and to safeguard equipment and property, before an employee begins a given task, it is the employee's responsibility to understand the correct operation and possible hazards involved, safety procedures, and necessary safety equipment required to perform the job.

**Safety Program** – The Town's safety program includes, but is not limited to, the following:

- Providing mechanical and physical safeguards to the maximum extent possible;
- Conducting inspections to find and eliminate unsafe working conditions and practices, control health hazards, and comply with the safety and health standards for every job;
- Training all employees in safety and health practices;
- Providing necessary personal protective equipment and instructions for its use and care;
- Developing and enforcing safety and health rules and requiring that employees cooperate with these rules as a condition of employment;
- Investigating, promptly and thoroughly, every accident to find the cause and correct the problem to prevent future occurrences;
- Providing First Aid kits and fire extinguishers throughout buildings and facilities.

**Accident Plan** – In the event of an accident, an employee must immediately stop work and take the following steps:

- Eliminate the immediate cause of the accident;
- Provide aid to the injured person and summon for assistance;
- Call the Department Head if possible within one hour of incident;
- If the accident appears serious, call 911; and
- Take steps to prevent additional accidents.

**Accident Reporting Procedures** – In the event an accident occurs in the workplace or in the course of employment, the following procedures will apply:

- When an accident occurs which results either in the loss of an employee's work time, or in the provision of medical care to an employee, the employee must immediately notify the Department Head who will in turn notify the Town Supervisor. The Town Supervisor must complete an *Employer's Report of Injury Form (C-2)* and submit according to operating procedures (Appendix K).
- When an accident occurs which does not result in the loss of an employee's work time, or in the provision of medical care to the employee, the employee must immediately notify the Department Head who will in turn notify the Town Supervisor. The Town Supervisor will maintain appropriate documentation of the incident.
- The Town Supervisor will keep a log of the injury or illness for five years following the end of the calendar year to which it relates. A copy of this log, which includes totals and information for the year, must be posted in each department or areas where notices to employees are customarily posted.

## 902 **Hazard Communication Program**

**Statement of Compliance** – The Town of Ulysses is committed to providing a safe and healthy work environment and complies with all Federal, State and local laws regarding hazard recognition, accident prevention, and working conditions. The Town considers Hazard Communication and the prevention of workplace injuries and illnesses to be of prime importance.

**Guidelines** – The following guidelines for the identification of chemical hazards and the preparation and proper use of containers, labels, placards, and other types of warning devices must be adhered to:

- **Chemical Inventory** – The Town must maintain an inventory of all known chemicals in use. An employee may obtain the chemical inventory from the employee's supervisor or Department Head.
- **Container Labels** – All chemicals on a work-site must be stored in the original or approved containers with the proper label attached. The Department Head must ensure that each container is labeled with the identity of the hazardous chemical contained and any appropriate hazard warnings. The Town will rely on manufacturer applied labels whenever possible. A container that is not labeled or on which the manufacturer's label has been removed, must be properly labeled. A container not properly labeled must be given to the Department Head for labeling or proper disposal.
- **Dispensing Chemicals** – An employee may dispense chemicals from original containers only in small quantities intended for immediate use. Any chemical leftover must be returned to the original container or to the Department Head for proper handling. No unmarked containers of any size are to be left in the work area unattended.

**Material Safety Data Sheets (MSDS)** – An employee working with a Hazardous Chemical shall obtain a copy of the Material Safety Data Sheet (MSDS) and a standard chemical reference from the employee's Department Head.

**Employee Training** – An employee must be trained to work safely with hazardous chemicals. This training program must cover the following areas:

- Methods used to detect the release of hazardous chemicals in the workplace;
- Physical and health hazards of chemicals and the measures used to protect employees;
- Safe work practices;
- Emergency responses to the exposure of hazardous chemicals;
- Proper use of personal protective equipment; and
- Hazard Communication Standards, including labeling and warning systems, and an explanation of the use of Material Safety Data Sheets.

**Personal Protective Equipment (PPE)** – Depending on job duties, an employee must routinely wear protective devices, such as gloves and safety glasses, as directed by the supervisor. An employee who is required to wear special safety equipment as directed by the supervisor must comply with the supervisor's request.

**Emergency Response** – Any incident of overexposure or spill of a hazardous chemical/substance must immediately be reported to the employee's supervisor. The supervisor must insure that proper emergency response actions are taken.

**Hazards of Non-Routine Tasks** – The Department Head must inform employees of any special tasks that may arise which would involve possible exposure to hazardous chemicals. Review of safe work procedures and use of required PPE must be conducted prior to the start of these tasks. Where necessary, areas will be posted to indicate the nature of the hazard involved.

## 1000 COMMUNICATION PROCEDURES

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### 1001 *Organizational Communications*

**Summary** – The Town Board is committed to assuring effective communications between the Board and employees. The success of the organization is dependent upon a set of common interests and goals that are achieved through teamwork, sharing of ideas, and effective communications of our short-term and long-term plans. From time to time, information and updates will be distributed to employees. All employees are encouraged to discuss this information with their Department Head should there be any questions.

**Methods of Communication** – Information will be communicated to employees in a variety of ways, including general and departmental meetings, e-mail distributions, memos and other written correspondence, notices distributed with paychecks, and posting of information. Employees should check bulletin boards frequently to keep informed on changes in employment matters and other items of interest. All material to be posted on bulletin boards, including memos and announcements, must have the prior approval of the appropriate Department Head.

### 1002 *Adverse Communications*

**Policy Statement** – An employee who receives any communication of a negative nature directed to the Town, or to any of its officers or employees in their official capacity, shall immediately notify and/or forward the communication to the appropriate Department Head. The term “communication” shall refer to both written and verbal communications, and includes, but is not limited to, memoranda, faxes, messages, letters, legal notices, e-mails, summonses and other communications.

### 1003 *Suggestions*

**Policy Statement** – Giving and receiving feedback is encouraged in order to promote a positive, productive, and cooperative atmosphere. Employees should notify their supervisor or Department Head of any suggestions which may be valuable to the Town’s productivity and success. All suggestions will be carefully reviewed and may be implemented if feasible.

### 1004 *Public Relations*

**Policy Statement** – The courteous, professional treatment of members of the public by all employees helps to build confidence among the citizens we serve. We require all employees to make every effort to represent the Town in a polite and professional manner.

## **1005 Reporting of Improper Activities**

**Policy Statement** – Any employee who witnesses or becomes aware of an inappropriate action, improper financial circumstance, inappropriate use of Town funds or property, safety issue, or other matter that appears to be improper, should immediately make his or her Department Head or supervisor, the Town Supervisor, or any Town Board member aware of the issue. When an imminent and serious danger to public health or safety exists, an employee may see fit to immediately report violations to law enforcement or other applicable governing body. Even if you are in doubt about what you witnessed or were made aware of you should report the matter.

**Retaliation** – Under Section 75-B of New York State Civil Service Law, New York State Public Sector Whistleblower Law, an employee, who in good faith, discloses to a governmental body information regarding a violation of law, a substantial and specific danger to the public health or safety, or an improper governmental action which the employee reasonably believes to be true, shall be protected from any adverse personnel action including, but not limited to: termination, disciplinary action, or changes in compensation.

## 1100 DISPUTE RESOLUTION

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### 1101 *Dispute Resolution Procedure*

**Policy Statement** – The Town Board has established a set of procedures to provide for the orderly resolution of differences at the earliest possible stage and to promote a harmonious and cooperative relationship between employees, Department Heads and members of the Town Board which will enhance the overall operation of the Town. The Town will attempt to resolve all work-related complaints that are appropriate for handling under this policy.

**Definition of Dispute** – For the purpose of this Personnel Policy, a “dispute” will mean a claimed violation, misinterpretation or inequitable application of any of the provisions of this Personnel Policy. In addition, the term “dispute” shall not apply to any matter as to which the Town is without authority to act. A few examples of matters that may be considered appropriate disputes under this policy include:

- A belief that Town policies, practices, rules, regulations, or procedures have been applied in a manner detrimental to an employee;
- Treatment considered unfair by an employee, such as coercion, reprisal, harassment, or intimidation;
- Alleged discrimination because of race, color, sex, age, religion, sexual orientation, national origin, marital status, disability; or any other protected class; and
- Improper or unfair administration of employee benefits or conditions of employment such as scheduling, vacations, fringe benefits, promotions, retirement, holidays, salary, or seniority.

**Step One** – An employee who claims to have a dispute may present the dispute to the employee’s Department Head. The dispute must be submitted, in writing, within seven working days following knowledge of the event(s) which caused the dispute or when the employee should have had knowledge. The dispute will specify the date of submission, the name of the aggrieved employee, the date the dispute arose, the nature of the dispute, the provision of the Personnel Policy that was allegedly violated and a statement of facts, times, dates, and the remedy sought.

Within seven working days after receiving the dispute, the employee’s Department Head will meet with the employee to discuss and attempt to resolve the matter.

**Step Two** – In the event the informal dispute is not resolved at Step One, or the employee reasonably believes that the employee cannot present the dispute to the employee’s Department Head, the employee may submit the matter to the Town Supervisor. The dispute must be submitted, in writing, within seven working days from receiving the Step One response, or when the response should have been received or if Step One is not utilized for the above reason, within seven working days following knowledge of the event(s) which caused the dispute or when the employee should have had knowledge.

Within seven working days after receiving the dispute, the Town Supervisor will meet with the employee to discuss and attempt to resolve the matter. Within seven working days from the meeting, the Town Supervisor will issue a written response.

**Step Three** – In the event the employee is not satisfied with the response at Step Two, the employee may submit the matter to the full Town Board by filing a Request for Hearing with the Town Clerk. The Request for Hearing must be submitted, in writing, within seven working days from receiving the Step Two response, or when the response should have been received. The Request for Hearing will include a written statement of the dispute as outlined in Step One of this Procedure.

The Town Board will set the time and place for the hearing. All decisions rendered by the Town Board will be final and binding.

**Time Limits** – The employee must adhere to the time limits set forth in this dispute procedure. In the event the employee does not advance the dispute to the next step within the established time limit, the dispute will be considered withdrawn and no further appeal will be accepted. The time limits may be extended by mutual agreement provided the extension is in writing, dated and signed by the employee and the person who is to receive the dispute.

**Final Decisions** – Final decisions on disputes will not be precedent-setting or binding on future disputes unless they are stated as official Town policy.

**Proper Use of Dispute Resolution Procedure** – Employees will not be penalized for proper use of the dispute resolution procedure. However, it is not considered proper use if an employee raises a dispute in bad faith or solely for the purposes of delay or harassment, or repeatedly raises meritless disputes. Implementation of the dispute procedure by an employee does not limit the right of the Town to proceed with any disciplinary action that is not in retaliation for the use of this procedure.

**Refusal to Proceed with Dispute** – The Town Board may, at its discretion, refuse to proceed with any dispute it determines is improper or baseless under this policy.

## **1200 FORMS APPENDIX**

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- A. PERSONNEL POLICY ACKNOWLEDGEMENT FORM**
- B. CODE OF ETHICS ACKNOWLEDGEMENT**
- C. SUBSTANCE ABUSE AND ALCOHOL TESTING ACKNOWLEDGEMENT**
- D. HEALTH INSURANCE PREMIUM ELECTION FORM**
- E. CLOTHING ALLOWANCE REIMBURSEMENT FORM**
- F. LEAVE OF ABSENCE REQUEST FORM**
- G. NEW YORK STATE RETIREMENT SYSTEM –  
NOTICE OF ELIGIBILITY / WAIVER OF ENROLLMENT**
- H. NEW YORK STATE RETIREMENT SYSTEM – APPLICATION**
- I. PERFORMANCE REVIEW FORM**
- J. INJURY OR ILLNESS INCIDENT REPORT (FORM SH 900.2)**
- K. EMPLOYER’S REPORT OF INJURY FORM (C-2)**
- L. HOLD HARMLESS AND RELEASE FORM FOR BACKGROUND CHECKS**
- M. TIME SHEET**
- N. MILEAGE EXPENSE FORM**
- O. TRAVEL EXPENSE FORM**

# Appendix A

To be placed in employee's personnel file.

## TOWN OF ULYSSES

### EMPLOYEE HANDBOOK ACKNOWLEDGMENT

I hereby acknowledge that I have received a copy of the ***Town of Ulysses Employee Handbook*** outlining the rules, regulations, procedures, practices, work standards, employment classifications, compensation, and benefits of the Town of Ulysses. I further acknowledge that I have read, or will read, the contents of the Employee Handbook and will contact my Department Head or the Town Supervisor if I have any questions.

I understand that the Employee Handbook is not meant to create a contract of employment, nor should it be construed as creating a contract of employment and that the Town Board of the Town of Ulysses reserves the right to interpret, change or modify any section of the Employee Handbook at any time. Except as otherwise provided by law, I understand that I am an employee at will.

I agree to abide by the personnel policies, procedures, rules and regulations outlined in the Employee Handbook.

I understand that the Employee Handbook and the changes contained herein are intended to supersede all prior manuals and guidelines issued by the Town of Ulysses.

\_\_\_\_\_  
Employee name (please print)

\_\_\_\_\_  
Department Head Name (please print)

\_\_\_\_\_  
Employee Signature

\_\_\_\_\_  
Department Head Signature

\_\_\_\_\_  
Date of Signature

\_\_\_\_\_  
Date of Signature

## CODE OF ETHICS ACKNOWLEDGEMENT FORM

The purpose of this memorandum is to advise each employee and public official of changes to the Code of Ethics Policy for the Town of Ulysses that was recently adopted by the Town Board. A copy of the Code of Ethics is attached to this memorandum for your information.

Please review the Code of Ethics Policy, sign the attached sheet affirming that you have read and understood the Policy and return this sheet to Carissa Parlato, Ulysses Town Clerk

If there are any components of the Policy that you do not understand or would like to comment on, please send your questions in writing or via e-mail to: Carissa Parlato, Town Clerk, 10 Elm Street, Trumansburg, NY - email – [clerk@ulysses.ny.us](mailto:clerk@ulysses.ny.us).

Because Section 3, paragraph A, dealing with gifts to staff and elected officials was recently changed, each employee and elected official should be aware that the gift limit described therein is a cumulative, annual gift limit and that the \$75 referred to is the limit of all permissible gifts to an affected employee or official regardless of the number of such gifts.

Beginning January 13, 2009, any alleged infractions of the Town of Ulysses Code of Ethics Policy will be referred to the Tompkins County Board of Ethics for investigation. Infractions of the Code of Ethics that are confirmed by such investigations will be referred to the Town Board for action.

Town of Ulysses Code of Ethics Policy

I have received a copy of the Code of Ethics Policy for the Town of Ulysses. I have read and understand the Code of Ethics Policy for the Town of Ulysses and understand that it is my responsibility as an elected official, public official, or staff member for the Town of Ulysses to adhere to its requirements.

I understand that any alleged violations of the Town of Ulysses Code of Ethics Policy will be referred to the Tompkins County Board of Ethics for review and investigation.

---

Date

---

Signature

Appendix C

**TOWN OF ULYSSES**

**Controlled Substance and Alcohol Testing Policy Acknowledgement Form**

I hereby acknowledge that I have received a copy of the Town of Ulysses Controlled Substances and Alcohol Testing Policy outlining the Town's objectives, procedures, and regulations regarding the use of controlled substances and alcohol. I further acknowledge that I have read or will read the contents of this policy and will contact the Designated Employer Representative or my supervisor with any questions.

I understand the objectives, procedures, and regulations in these policies will remain in effect unless changes become necessary.

I understand that the Town of Ulysses reserves the right to interpret, add to, or revise any part of this policy. Moreover, these policies may be subject to alteration by changes in federal or state legislation, rules, and/or regulations.

I understand that failure to comply with any aspect of this policy will subject me to disciplinary action, up to and including termination of employment.

I agree to abide by the Town of Ulysses Controlled Substances and Alcohol Testing Policy.

---

Employee name printed

---

Employee signature

---

Date

---

Dept. head signature

---

Date received by dept. head

# Appendix D

## Town of Ulysses Insurance Premium Election Form

<b>CHECK ONE:</b> <input type="checkbox"/> Correction <input type="checkbox"/> Change of personal information <input type="checkbox"/> Change of family status <input type="checkbox"/> Transfer- date effective _____ <input type="checkbox"/> Termination <input type="checkbox"/> Participation waived- initial: _____
---

### Personal Information:

Last Name	First Name	Middle Initial	Social Security #	
Home Address: Street		City	State	Zip

Last Name	First Name	Middle Initial	Social Security #	
Home Address	Street	City	State	Zip
Date of Birth	Sex: _____M _____F	Marital Status: _____Single	Date of Hire	

### Benefits Elections and Costs per Month (Circle coverage elected and enter appropriate amount on total cost per month line).

Name of Benefit Plan offered:	Single Employee Policy Cost /month:	Family Policy Cost /month:
Health Insurance		
Dental and Vision Insurance		
Amount after employer contribution:		
<b>Total cost/month:</b>		

### Salary Reduction Agreement

I have read and understand the explanation I have received regarding my options under the Town of Ulysses Premium Only Plan. I understand I have the right to have the company redirect my salary on a pre-tax basis during the plan year and apply this amount toward the purchase of the medical coverage I have designated above. I understand my share of the cost of this coverage may be adjusted from time to time to reflect the change in rates charged by the carriers. I acknowledge that my election is irrevocable unless there is a change in my status. A change in status includes: marriage; divorce; death of a spouse or dependent; birth of a dependent; birth or adoption of a child; change in number of dependents; termination of employment or commencement of employment; a strike or lockout; commencement or return from an unpaid leave of absence; a change in worksite; or any change in employment status that affects eligibility; a change in residence for me, my spouse or children; or my dependent either satisfies or ceases to satisfy requirement for coverage due to change in age, student status, or any similar circumstances; or a change in my spouse's employment status. It is specifically the participant's responsibility regarding insurance premium reimbursement not to request anything that could violate the terms of their insurance policy.

I hereby apply for the options listed above. If necessary, I authorize the Town of Ulysses to adjust my pay as required by my elections. I understand that the benefit options I have elected will remain in force from January 1 until December 31, unless my family status changes.

\_\_\_\_\_  
Employee signature

\_\_\_\_\_  
date

\_\_\_\_\_  
Company representative

\_\_\_\_\_  
date

Appendix E

## Town of Ulysses - Clothing Allowance Reimbursement Form

*From the 9/23/14 personnel policy:* Clothing Allowance –the Town will provide a monetary clothing allowance for Class A, B C, and D employees and elected officials of the Highway Department. Those eligible can receive reimbursement of up to \$200 for clothing needed for Town purposes. Clothing and shoes need to comply with safety regulations governing each position. Employees and the Highway Superintendent should submit receipts to the Bookkeeper for reimbursement. Balances do not carry from one year to the next. The amount and frequency of the allowance will be at the full discretion of the Town Board.

Name		Account No.	
E-mail		Department	Highway
Purpose		Town Supervisor Approval	

Item	Date Purchased	Details	Amount
<b>Subtotal</b>			
<b>Total amount owing to employee</b>			

Signature	Date
-----------	------

Please attach all receipts for every item listed, sign the form, and submit to the Bookkeeper.



**NEW YORK STATE RETIREMENT**

Section 45 of the Retirement and Social Security Law, effective August 2, 1986, imposes requirements on employers hiring people whose Retirement System membership is optional. Employers must, at the time of employment, notify the new employee, in writing, of his or her right to membership in the Employees' Retirement System. The Law also requires that each employee acknowledgement and filing it with the employer.

Participation in the New York State Employee's Retirement System is optional for part-time employees.

It is very important to note that once you enter the New York State Employee's Retirement System you are NOT ALLOWED to discontinue participation in this plan.

\_\_\_\_\_ Yes, I wish to participate in the New York State Employee's Retirement System.

\_\_\_\_\_ No, I do not wish to participate in the New York State Employee's Retirement System.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

# Appendix H



Office of the New York State Comptroller  
 New York State and Local Retirement System  
 Employees' Retirement System  
 Police and Fire Retirement System  
 110 State Street, Albany, New York 12244-0001

# Employees' Retirement System Membership Registration RS 5420

(Rev. 5/13)

If your employment is on a part-time, temporary or provisional basis, or less than 12 months per year, membership is optional.

**IF YOUR MEMBERSHIP IS OPTIONAL, DO NOT COMPLETE OR SUBMIT THIS FORM UNLESS YOU DESIRE TO BECOME A MEMBER.**

**Instructions:** Please print clearly in ink or type. **Application must be signed and notarized on last page.**

**Employee:** Complete items 1–3, 10–13 on page 2 and other applicable sections. **Employer:** Complete items 4–9a.

**FOR A REGISTRATION NUMBER:** Call 1-866-805-0990 or (518) 474-3081. Or fax the application to (518) 486-4382.

**This completed membership application must be mailed to the Retirement System for the membership to be effective.**

**IMPORTANT INFORMATION:** Has this person been registered to membership by means of the telephone or fax registration system?  Yes  No (If yes, enter the information given to you in the boxes below.)

<b>Receipt Stamp</b> For OSC use only

In order to complete the registration process this membership registration form must be received by the Retirement System.

Registration Number		Rate	Date of Membership			Arrears Code	Group Code	Plan Code	Tier
			Mo	Day	Year				

To Be Completed by Employee (Also see reverse side)

<b>Employee's Name</b> Last					First					Middle Initial							
<b>1</b>																	
<b>Employee's Address</b> Street and/or PO Box #					City				State		Zip Code + 4						
<b>2</b>																	
<b>3 Date of Birth</b>			<b>Sex</b>		<b>*Social Security Number</b>					<b>Maiden or Other Name Used</b>							
Month	Day	Year	M	F													
<input type="checkbox"/>	<input type="checkbox"/>																

\*NOTE: In accordance with the Federal Privacy Act of 1974, you are hereby advised that disclosure of your Social Security account number is mandatory pursuant to Sections 11, and 34 of the Retirement and Social Security Law. Your number will be used in identifying your retirement records and in the administration of the Retirement System.

To Be Completed by Present Employer

<b>Employer Name</b> (Indicate State, or, if not, name of public entity by which employed and Department, Division, or Institution)										<b>Location Code</b>			<b>Report Code</b>	
<b>4</b>										<b>4a</b>				
<b>Employer's Address</b> Street			City		County		State	Zip Code + 4			<b>Employer Telephone Number</b>			
<b>5</b>													( )	
<b>6</b>					<b>Indicate Length of Work Year</b>					<b>Employer Fax Number</b>				
					<input type="checkbox"/> 10 Months <input type="checkbox"/> 12 Months <input type="checkbox"/> Seasonal					( )				
<b>Check if Either Applies</b>					*If accountant, auditor, physician, attorney, engineer or architect please submit documentation as indicated at <a href="http://www.osc.state.ny.us/retire/employers/classify_an_employee.htm">www.osc.state.ny.us/retire/employers/classify_an_employee.htm</a>									
<input type="checkbox"/> Appointed Official <input type="checkbox"/> Elected Official														

**Enter the Date or Dates Relating to Employee's Present Position:**

<b>7 Part-Time Employment</b>						<b>Full-Time Employment</b>					
Date of First Appointment			Date of Permanent Appointment			Date of Temporary or Provisional Appointment			Date of Permanent or Probationary Appointment		
Month	Day	Year	Month	Day	Year	Month	Day	Year	Month	Day	Year

**Frequency of Payment:**

<b>8</b>	<input type="checkbox"/> Annually	<input type="checkbox"/> Semi-Annually	<input type="checkbox"/> Quarterly	<input type="checkbox"/> Monthly
	<input type="checkbox"/> Semi-Monthly	<input type="checkbox"/> Bi-weekly	<input type="checkbox"/> Weekly	<input type="checkbox"/> Other – Please Specify _____

**Basis of Compensation and Rate (Tier 1, 2, 3, 4 and 5 ONLY):**

<b>9</b>	Annual \$ _____	Daily \$ _____	Hourly \$ _____
	Units of Work Performed \$ _____ per _____	(Example: \$50 per meeting or \$10 per examination, etc.)	

**Basis of Compensation and Rate (Tier 6 ONLY):**

<b>9a</b>	Annual Wage \$ _____
-----------	----------------------

Tier 6 requires employers to determine the Annual Wage for individuals who work Part Time, Seasonal or on an Hourly, Daily or Unit of Work Basis. See the Chart on Page Two for instructions.

**Examples of Tier 6 annual wage for individuals paid at an Hourly, Daily or Unit of Work basis of compensation:**

<p><b>Hourly Employees</b></p> <p>12 month Employee: \$ _____ x _____ x 260 = \$ <u>0.00</u>  <small>Hourly Rate      Standard Workday*      Days Worked      Annual Wage</small></p> <p>10 month Employee: \$ _____ x _____ x 180 = \$ <u>0.00</u>  <small>Hourly Rate      Standard Workday*      Days Worked      Annual Wage</small></p>	<p><b>Daily Employees</b></p> <p>12 month Employee: \$ _____ x 260 = \$ <u>0.00</u>  <small>Daily Rate      Days Worked      Annual Wage</small></p> <p>10 month Employee: \$ _____ x 180 = \$ <u>0.00</u>  <small>Daily Rate      Days Worked      Annual Wage</small></p>
--	---

\*Standard Workday (Hrs/day) (Applies to all Tiers): The minimum number of hours that can be established for a standard workday is six, while the maximum is eight. A standard workday is the denominator to be used for the days worked calculation; it is not necessarily the number of hours the person actually worked. For example, if a bus driver works four hours a day, you must still establish a standard workday between six and eight hours as the denominator for their days worked calculation.

<p><b>Unit of Work Employees</b></p> <p>\$ _____ x _____ = \$ <u>0.00</u>  <small>Unit Rate      # of Events**      Annual Wage</small></p> <p>**Estimated or Actual</p>	<p><b>Example: Paid \$50 per Meeting</b></p> <p>\$ <u>50</u> x <u>12 Meetings</u> = \$ <u>600</u>  <small>Unit Rate      # of Events***      Annual Wage</small></p> <p>***An estimate of the number of events is acceptable</p>
--	--

**Note:** Any questions regarding annual wage, please contact the Retirement System.

Are you currently an <b>active</b> or <b>vested</b> member of <b>any other</b> public retirement system in New York State? <input type="checkbox"/> YES <input type="checkbox"/> NO	
If yes, what is the name of the system? <b>10</b>	REGISTRATION NUMBER (If Known?)

**WARNING:** If you are now an active or vested member of any other public retirement system in New York State, you should contact that system concerning the advantages of transferring your membership to this System. Failure to contact that system could cause loss of the privilege of transferring membership and may effect contribution cessation dates.

Are you receiving or are you about to begin receiving a RETIREMENT BENEFIT from any retirement system on THE BASIS OF EMPLOYMENT with New York State or any public entity in the State? <input type="checkbox"/> YES <input type="checkbox"/> NO	
REGISTRATION NUMBER (If Known?) <b>11</b>	REGISTRATION NUMBER (If Known?)

Have you ever been a member of the New York State Employees' Retirement System? <input type="checkbox"/> YES <input type="checkbox"/> NO	
REGISTRATION NUMBER (If Known?) <b>12</b>	REGISTRATION NUMBER (If Known?)

List below all previous periods of employment with New York State or any New York State public entity (County, City, Town, Village, School District, Public Authority or Special District). Include any military service. Attach additional sheets as required.

<b>13</b>	Name of Employer	Name of Dept. or Agency	Title of Position	From			To			Indicate If Permanent or Temporary, and Full or Part Time
				Mo.	Day	Year	Mo.	Day	Year	

**NOTE:** In accordance with the Personal Privacy Protection Law you are hereby advised that pursuant to the Retirement and Social Security Law, the Retirement System is required to maintain records. The records are necessary to determine eligibility for and to calculate benefits. Failure to provide information may result in the failure to pay benefits. The System may provide certain information to participating employers. The official responsible for maintaining these records is the Director of Member Services, New York State and Local Retirement System, Albany, NY 12244-0145; telephone number (518) 474-3524.

To Be Completed by the Employee

## Reinstatement to a former membership in accordance with Section 645 (Tiers 3, 4, 5 and 6).

**Note: Completion of this form does not constitute an application for reinstatement.**

Section 645 of the Retirement and Social Security Law allows members of a New York State public retirement system, whose original membership was terminated or withdrawn, to return to their former Tier or date of membership.

Members with a former Tier 3, 4, 5 or 6 membership in the New York State and Local Employees' Retirement System will be automatically provided with the cost, if any, and procedures for reinstatement at a later date.

Former Tier 3, 4, 5 or 6 members of any NYS public retirement system, *other than the NYS Employees' Retirement System*, **please complete the section below.** We will provide you with the cost, if any, and procedures for reinstatement at a later date.

## Reinstatement to a former membership in accordance with Section 645 (Tiers 1 and 2).

Members with a former Tier 1 or 2 membership in any New York public retirement system may apply for reinstatement by completing the section below.

### Important Information:

If you are not sure of your employer's current Tier 1 or 2 retirement plan, or if you are a member of the Police and Fire Retirement System or if you have any questions regarding reinstatement you should contact the Retirement System before completing the section below.

If you are given Tier 1 or 2 status, your Tier 3, 4, 5 or 6 contributions are **not refundable** and you will not be able to take a loan against these contributions.

If your date of membership will be before April 1, 1960, you may owe contributions for services rendered prior to April 1, 1960. Any deficit in contributions for service before the date noted will result in a reduction of your retirement benefit.

### **FORMER MEMBERSHIP INFORMATION:**

PLEASE CHECK THE FIRST FORMER RETIREMENT SYSTEM YOU WERE A MEMBER OF:

- |   |   |
|---|---|
| <input type="checkbox"/> New York State Teachers' Retirement System                 | <input type="checkbox"/> New York City Board of Education Retirement System |
| <input type="checkbox"/> New York State and Local Employees' Retirement System      | <input type="checkbox"/> New York City Teachers' Retirement System          |
| <input type="checkbox"/> New York State and Local Police and Fire Retirement System | <input type="checkbox"/> New York City Police Pension Fund                  |
| <input type="checkbox"/> New York City Employees' Retirement System                 | <input type="checkbox"/> New York City Fire Pension Fund                    |

PLEASE COMPLETE THE FOLLOWING (if known):

**Former Registration Number:** \_\_\_\_\_ **Date of Membership:** \_\_\_\_\_

**Former Name (if applicable):** \_\_\_\_\_

Have you received credit for this former membership in any other retirement system? Yes  No

If Yes, what retirement system? \_\_\_\_\_

Are you receiving or eligible to receive a retirement benefit based on this service? Yes  No

Signature \_\_\_\_\_ Date \_\_\_\_\_

If you are eligible for a refund of contributions, the Retirement System is required to withhold 10% of the taxable amount of the refund for federal taxes unless you instruct us not to take the withholding.

If you do not want the Retirement System to withhold federal income tax from your payment, sign and date this election.

**I DO NOT WANT TO HAVE FEDERAL INCOME TAX WITHHELD FROM MY PAYMENT.**

Signed: \_\_\_\_\_ Date: \_\_\_\_\_

**Important:** If you find this form is not suited for the type of Designation you prefer, please advise the Retirement System. In the meantime, for your protection and the protection of your beneficiary(ies), you should make an interim designation using this form. Beneficiaries' complete name, address,

date of birth and relationship must be provided. Do *not* designate yourself. If additional space is needed you may enter two names on a line. **This is a legal document and, therefore, this form must not be altered.**

**To the Comptroller of the State of New York.**

**Designation of Primary Beneficiary(ies)**

I hereby name the following as beneficiary(ies) to receive any death benefit payable on my behalf. I realize that if a death benefit is payable for which the beneficiaries are mandated by law, this designation will be superseded. If I

have named more than one beneficiary, it is my intention that those living at the time of my death should share equally any benefit payable. I reserve the right to change the designation at any time.

Name <span style="float:right"><input type="checkbox"/> Male <input type="checkbox"/> Female</span>	Name <span style="float:right"><input type="checkbox"/> Male <input type="checkbox"/> Female</span>
Birth Date <span style="float:right">Relationship (Check one) <input type="checkbox"/> Spouse <input type="checkbox"/> Parent <input type="checkbox"/> Child <input type="checkbox"/> Other</span>	Birth Date <span style="float:right">Relationship (Check one) <input type="checkbox"/> Spouse <input type="checkbox"/> Parent <input type="checkbox"/> Child <input type="checkbox"/> Other</span>
Address	Address
Name <span style="float:right"><input type="checkbox"/> Male <input type="checkbox"/> Female</span>	Name <span style="float:right"><input type="checkbox"/> Male <input type="checkbox"/> Female</span>
Birth Date <span style="float:right">Relationship (Check one) <input type="checkbox"/> Spouse <input type="checkbox"/> Parent <input type="checkbox"/> Child <input type="checkbox"/> Other</span>	Birth Date <span style="float:right">Relationship (Check one) <input type="checkbox"/> Spouse <input type="checkbox"/> Parent <input type="checkbox"/> Child <input type="checkbox"/> Other</span>
Address	Address

**Designation of Contingent Beneficiary(ies)**

If all the above named beneficiaries die before I do, any benefits payable on my behalf shall be paid to the following. I realize that, if a death benefit is payable for which the beneficiaries are mandated by law, this designation will be superseded. If I have named more than one beneficiary, it is my intention

that those living at the time of my death should share equally any benefit payable. Furthermore, if I should out-live all these beneficiaries, any benefit payable should be paid to my estate or any other beneficiary I name hereafter. I reserve the right to change the designation at any time.

Name <span style="float:right"><input type="checkbox"/> Male <input type="checkbox"/> Female</span>	Name <span style="float:right"><input type="checkbox"/> Male <input type="checkbox"/> Female</span>
Birth Date <span style="float:right">Relationship (Check one) <input type="checkbox"/> Spouse <input type="checkbox"/> Parent <input type="checkbox"/> Child <input type="checkbox"/> Other</span>	Birth Date <span style="float:right">Relationship (Check one) <input type="checkbox"/> Spouse <input type="checkbox"/> Parent <input type="checkbox"/> Child <input type="checkbox"/> Other</span>
Address	Address
Name <span style="float:right"><input type="checkbox"/> Male <input type="checkbox"/> Female</span>	Name <span style="float:right"><input type="checkbox"/> Male <input type="checkbox"/> Female</span>
Birth Date <span style="float:right">Relationship (Check one) <input type="checkbox"/> Spouse <input type="checkbox"/> Parent <input type="checkbox"/> Child <input type="checkbox"/> Other</span>	Birth Date <span style="float:right">Relationship (Check one) <input type="checkbox"/> Spouse <input type="checkbox"/> Parent <input type="checkbox"/> Child <input type="checkbox"/> Other</span>
Address	Address

**WARNING: If you are receiving a pension from a public retirement system in New York State, contact the system providing your pension BEFORE signing this form. Failure to do so could result in the suspension of payment of your pension benefit.**

**IMPORTANT: You must sign and enter date below to affirm Retirement System membership, and beneficiary designation.**

I have made my Designation of Beneficiary as shown above and acknowledge that my membership in the New York State and Local Employees' Retirement System is governed by the provisions of Article 15 of the Retirement and Social Security Law and that I am entitled to all the benefits thereof. I understand that, as required by law, a deduction will be made from my salary or compensation for retirement contributions.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

Employee Telephone Number*

Employee E-Mail Address*

\*Not Required

**ACKNOWLEDGEMENT TO BE COMPLETED BY A NOTARY PUBLIC**

State of \_\_\_\_\_ County of \_\_\_\_\_  
 On the \_\_\_\_ day of \_\_\_\_\_ in the year \_\_\_\_ before me, the undersigned, personally appeared \_\_\_\_\_, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.

\_\_\_\_\_  
NOTARY PUBLIC (Please sign and affix stamp)

Notary Stamp

FOR OFFICE USE ONLY

Reviewed
----------

Examined
----------

# APPENDIX I

## TOWN OF ULYSSES PERFORMANCE REVIEW

<b>Name:</b>		<b>Department:</b>			
<b>Date:</b>	<b>Date of last review:</b>	<b>Job title:</b>			
Evaluate employee on work performed from the last review to this review. Check appropriate box below for each of the 8 performance criteria. Tabulate performance score.					<b>Score</b>
<b>1) QUALITY OF WORK</b>	<b>3 Above Average</b>	<b>2 Good</b>	<b>1 Minimal</b>	<b>0 Unacceptable</b>	<b>Improvement</b>
Neatness, accuracy, completeness	<input type="checkbox"/> Work is complete, requires little to no supervision, attends to detail.	<input type="checkbox"/> Accurate, generally works independently.	<input type="checkbox"/> Often makes mistakes. Work incomplete	<input type="checkbox"/> Frequent mistakes. Work unacceptable.	<i>Improved</i> <i>Same</i> <i>Decline</i> <i>Not Applicable</i>
<b>Comments:</b>					
<b>2) QUANTITY OF WORK</b>	<b>3 Above Average</b>	<b>2 Good</b>	<b>1 Minimal</b>	<b>0 Unacceptable</b>	<b>Improvement</b>
Work output, speed, timeliness, effectiveness, work habits.	<input type="checkbox"/> Highly efficient, takes initiative.	<input type="checkbox"/> Work performed on time.	<input type="checkbox"/> Needs reminders of work due.	<input type="checkbox"/> Does not finish work, requires others to finish projects.	<i>Improved</i> <i>Same</i> <i>Decline</i> <i>Not Applicable</i>
<b>Comments:</b>					
<b>KNOWLEDGE &amp; SKILLS</b>	<b>3 Above Average</b>	<b>2 Good</b>	<b>1 Minimal</b>	<b>0 Unacceptable</b>	<b>Improvement</b>
Technical knowledge, skills, analytical, problem solving	<input type="checkbox"/> Takes initiative to develop and use skills, teaches, shares	<input type="checkbox"/> Looks to improve skills, problem solves.	<input type="checkbox"/> No interest in improving knowledge and skills	<input type="checkbox"/> Resists improvement of skills.	<i>Improved</i> <i>Same</i> <i>Decline</i> <i>Not Applicable</i>
<b>Comments:</b>					
<b>INITIATIVE &amp; DECISION-MAKING</b>	<b>3 Above Average</b>	<b>2 Good</b>	<b>1 Minimal</b>	<b>0 Unacceptable</b>	<b>Improvement</b>
Use of logic, research, sound judgment, responsibility	<input type="checkbox"/> Balances input, logical, takes responsibility, follows through	<input type="checkbox"/> Frequently uses logic and good judgment.	<input type="checkbox"/> Avoids decision-making, requires prodding.	<input type="checkbox"/> Negatively impacts decision-making, creates roadblocks	<i>Improved</i> <i>Same</i> <i>Decline</i> <i>Not Applicable</i>
<b>Comments:</b>					

# APPENDIX I

INTERPERSONAL SKILLS	3 Above Average	2 Good	1 Minimal	0 Unacceptable		Improvement
Team player, cooperative, seeks feedback from others, respectful	<input type="checkbox"/> Values group, asks for and incorporates feedback, seeks balance	<input type="checkbox"/> Participates, assists others, cooperates.	<input type="checkbox"/> Takes minimal efforts to achieve goal of team,	<input type="checkbox"/> Not willing to work with team, little or negative dialogue.		Improved Same Decline Not Applicable
Comments:						
COMMUNICATION	3 Above Average	2 Good	1 Minimal	0 Unacceptable		Improvement
Verbal, written, listening and oral skills	<input type="checkbox"/> Highly skilled and able. Proactive.	<input type="checkbox"/> Communicates well, concise, accurate	<input type="checkbox"/> Has some skills, has difficulty getting point across	<input type="checkbox"/> Incomplete, inaccurate, misleading or negative		Improved Same Decline Not Applicable
Comments:						
ATTENDANCE & PUNCTUALITY	3 Above Average	2 Good	1 Minimal	0 Unacceptable		Improvement
Reliability, punctuality, and dependability	<input type="checkbox"/> Highly reliable, punctual and flexible.	<input type="checkbox"/> Dependable, reliable.	<input type="checkbox"/> Occasionally unreliable or unavailable impacting others.	<input type="checkbox"/> Frequently late or abuses time.		Improved Same Decline Not Applicable
Comments:						
SAFETY (If applicable)	3 Above Average	2 Good	1 Minimal	0 Unacceptable		Improvement
Commitment to working in a safe, responsible manner.	<input type="checkbox"/> Promotes a safe environment for all workers.	<input type="checkbox"/> Follows safety rules.	<input type="checkbox"/> Occasionally takes risks with safety.	<input type="checkbox"/> Ignores safety rules or policy.		Improved Same Decline Not Applicable
Comments:						
EDUCATION and SELF IMPROVEMENT PLAN						
The Town of Ulysses believes its employees and the town benefit from self improvement. Working with your supervisor, outline actions to improve skills in any areas of need. Use extra paper if necessary.						
Education received since last review: NA			Development Plan: Plan of action to build skills, performance and continued development.			

## APPENDIX I

### GENERAL COMMENTS:

**RECOMMENDATIONS:** What are the major goals for the next review period? Priorities for the next 6 months (optional).

### EMPLOYEE RESPONSE

I understand that this review is based upon my performance. I have read the appraisal and have discussed its contents with the reviewer(s). I wish to make the following comments:

I wish to make the following comments about the department and/or organization as a whole:

\_\_\_\_\_  
**EMPLOYEE'S SIGNATURE**

\_\_\_\_\_  
**DATE**

### REVIEWER

I have objectively based this review on the individual's job performance and have discussed the review with them.

\_\_\_\_\_  
**REVIEWER'S SIGNATURE**

\_\_\_\_\_  
**DATE**

### SUPERVISOR

I have confidentially read over this performance review.

\_\_\_\_\_  
**TOWN SUPERVISOR'S SIGNATURE**

\_\_\_\_\_  
**DATE**

Appendix J

NEW YORK STATE - DEPARTMENT OF LABOR  
 INJURY AND ILLNESS INCIDENT REPORT  
 FORM SH 900.2

Attention: This form contains information relating to employee health and must be used in a manner that protects the confidentiality of employees to the extent possible while the information is being used for occupational safety and health purposes.

This *Injury and Illness Incident Report* is one of the first forms you must fill out when a recordable work-related injury or illness has occurred. Together with the *Log of Work Related Injuries and Illnesses* and the accompanying *Summary*, these forms help the employer and PESH develop a picture of the extent and severity of work-related incidents.

Within 7 calendar days after you receive information that a recordable work-related injury or illness has occurred, you must fill out this form or an equivalent. Some state workers' compensation, insurance, or other reports may be acceptable substitutes. To be considered an equivalent form, any substitute must contain all the information asked for on this form.

According to 12NYCRR Part 801, PESH recordkeeping rule, you must keep this form on file for 5 years following the year to which it pertains.

If you need additional copies of this form, you may photocopy and use as many as you need.

Completed by _____ Title _____ Phone ..... Date ____/____/____
--

Employee Information:

- 1) Full name \_\_\_\_\_
- 2) Street \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_
- 3) Date of birth \_\_\_\_/\_\_\_\_/\_\_\_\_ 4) Date hired \_\_\_\_/\_\_\_\_/\_\_\_\_
- 5)  Male  Female

14) What was the employee doing just before the incident occurred? Describe the activity, as well as the tools, equipment, or material the employee was using. Be specific. *Examples:* "climbing a ladder while carrying roofing materials", "spraying chlorine from hand sprayer."

15) What happened? Tell us how the injury occurred. *Examples:* "When ladder slipped on wet floor, worker fell 20 feet", "Worker was sprayed with chlorine when gasket broke during replacement."

16) What was the injury or illness? Tell us the part of the body that was affected; be more specific than "hurt", "pain", or "sore." *Examples:* "strained back", "chemical burn, hand."

17) What object or substance directly harmed the employee: *Examples:* "concrete floor", "radial arm saw", "chlorine."

18) If the employee died, when did death occur? Date of death \_\_\_\_/\_\_\_\_/\_\_\_\_

Physician/Health Care Professional Information:

6) Name of physician or other health care professional \_\_\_\_\_  
 \_\_\_\_\_

7) If treatment was given away from the worksite, where was it given?

Facility \_\_\_\_\_

Street \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

8) Was employee treated in an emergency room?

Yes  No

9) Was employee hospitalized overnight?

Yes  No

Information about the case:

10) Case number from the *Log* \_\_\_\_\_  
 (Transfer the case number from the *Log* after you record the case.)

11) Date of injury or illness \_\_\_\_/\_\_\_\_/\_\_\_\_

12) Time employee began work \_\_\_\_\_ AM / PM

13) Time of event \_\_\_\_\_ AM / PM

Check if time cannot be determined

Event occurred  before  during  after work shift

ILLNESS CASES ONLY

Check this box if the employee independently and voluntarily requests that his or her name not be entered on the log. If checked, treat as a privacy concern case.

**Appendix K**



**EMPLOYER'S REPORT OF WORK-RELATED INJURY/ILLNESS**

State of New York - Workers' Compensation Board

**C-2**

If one of your employees has a work-related injury or illness, you must complete and file this form **within 10 days** of the injury/illness or be subject to a penalty. For additional information on filing this form please refer to Workers' Compensation Law Section 110 at the end of this form. Type or print neatly.

WCB Case Number (if you know it): \_\_\_\_\_ Date of Injury/Illness: \_\_\_\_/\_\_\_\_/\_\_\_\_

Carrier Case Number (if you know it): \_\_\_\_\_ Date of this Report: \_\_\_\_/\_\_\_\_/\_\_\_\_

**A. EMPLOYER INFORMATION**

1. Employer: \_\_\_\_\_ 2. Employer FEIN: \_\_\_\_\_

3. Mailing Address: \_\_\_\_\_

4. Location Address (if different): \_\_\_\_\_

5. Phone Number: (\_\_\_\_) \_\_\_\_\_ 6. Nature of Business or Industry Code: \_\_\_\_\_

7. OSHA Case Number (if known): \_\_\_\_\_ 8. NY UI Employer Reg Number: \_\_\_\_\_

**B. INSURANCE CARRIER / SELF-INSURED EMPLOYER**

*If individually self-insured, enter your Board W Number and skip to Section C.*

1. Board W Number: **W** \_\_\_\_\_ 2. Carrier/Group Name: \_\_\_\_\_

3. Policy Number: \_\_\_\_\_ Policy Period: From: \_\_\_\_/\_\_\_\_/\_\_\_\_ To: \_\_\_\_/\_\_\_\_/\_\_\_\_

4. If Carrier Unknown, Insurance Agent Name: \_\_\_\_\_ 5. Phone Number: (\_\_\_\_) \_\_\_\_\_

**C. EMPLOYEE'S PERSONAL INFORMATION**

1. Name: \_\_\_\_\_ 2. Date of Birth: \_\_\_\_/\_\_\_\_/\_\_\_\_  
First MI Last

3. Mailing Address: \_\_\_\_\_

4. Social Security Number: \_\_\_\_\_ 5. Contact Phone Number: (\_\_\_\_) \_\_\_\_\_ 6. Gender:  Male  Female

**D. EMPLOYEE'S INJURY OR ILLNESS**

1. Time of day employee began work on date of injury: \_\_\_\_\_  AM  PM 2. Time of injury: \_\_\_\_\_  AM  PM

3. Has the employee given you notice of injury/illness?  Yes  No

If yes, notice was given to: \_\_\_\_\_  orally  in writing Date notice provided: \_\_\_\_/\_\_\_\_/\_\_\_\_

**If available, attach a copy of the employee's written notice and medical notes, and the employer's incident report.**

4. Have you given the employee a Claimant Information Packet?  Yes  No If yes, give date: \_\_\_\_/\_\_\_\_/\_\_\_\_

5. Where did the injury/illness happen (e.g., 1 Main St., Pottersville, at the front door): \_\_\_\_\_

6. Was this location where the employee normally worked?  Yes  No If no, why was the employee there? \_\_\_\_\_

7. Employee's supervisor: \_\_\_\_\_ 8. Did supervisor see injury happen?  Yes  No  Unknown

9. Did anyone else see the injury happen?  Yes  No  Unknown If yes, give name(s): \_\_\_\_\_

10. What was the employee doing when he/she was injured or became ill? (e.g., unloading a truck, stocking a shelf, typing annual report)

# TOWN OF ULYSSES

## BACKGROUND VERIFICATION AUTHORIZATION AND HOLD HARMLESS STATEMENT

To Whom It May Concern:

I hereby authorize and request any present or former employer, educational institution, military service, police department, criminal justice agency, financial institution, credit bureau, department of motor vehicles, or other persons or organizations having personal knowledge about me, to furnish bearer with any and all information in their possession regarding me in connection with an application for employment.

I am willing to allow a photocopy or fax copy of this authorization to be accepted with the same authority as the original, and I specifically waive any written notice from any present or former employer who may provide information based upon this authorized request. I understand this authorization is to be part of the written *Application for Employment Form* which I have signed.

I have been given a stand-alone notification that a consumer report may be requested and used for the purpose of evaluating me for employment, promotion, reassignment, or retention as an employee.

I certify that all of the information provided on the *Application for Employment* and/or *Supplemental Applicant Information Forms* is true and complete. I understand that falsification, misrepresentation, or omission of any material fact may be cause for rejection of my application, or if hired, termination of my employment.

I authorize the investigation of all statements contained on my resume, the *Application for Employment Form*, the *Supplemental Application Information Form*, or furnished elsewhere, as may be necessary for the (Town of Ulysses) to arrive at an employment decision.

I hold harmless and release from all liability the employer and all organizations or individuals furnishing information regarding my employment or personal background that may be used in connection with this application for employment.

I understand and agree that, if hired, my employment is for no definite period and may, regardless of the date of payment of my wages or salary, be terminated at any time, subject to applicable federal, state and/or local rules and regulations and/or collective bargaining agreements.

Print Applicant Name:	Social Security Number:	Date:
Applicant Signature:		
(Town of Ulysses) Representative Signature:	Date:	

**Town of Ulysses  
EMPLOYEE TIME CARD**

Payroll Period: \_\_\_\_\_

Employee Name: \_\_\_\_\_

Check one    Salary    Hourly

	Date	Time In	Time Out	Time In	Time Out	Regular Hours	Sick Days	Vacation Days	Holiday Days	Bereavement	Comments
<b>WEEK ONE</b>											
Sunday											
Monday											
Tuesday											
Wednesday											
Thursday											
Friday											
Saturday											
<b>WEEKLY TOTAL</b>						0	0	0	0	0	
<b>WEEK TWO</b>											
Sunday											
Monday											
Tuesday											
Wednesday											
Thursday											
Friday											
Saturday											
<b>WEEKLY TOTAL</b>						0	0	0	0	0	
<b>TOTALS</b>						0	0	0	0	0	
<b>TOTAL HOURS</b>						<b>0</b>					

Employee Signature \_\_\_\_\_

Department Head or Employee Supervisor Signature \_\_\_\_\_

SUBMIT BI-WEEKLY. If submitting electronically, the Department Head / Employee Supervisor must give electronic approval as part of the time card submission. Salaried and hourly workers should use this time card to submit sick, vacation, holiday and personal time off.



Appendix O

Town of Ulysses - Travel Expense Form

Name		Account No.	
E-mail		Department	
Purpose		Approved by	

Expenses	Dates	Details	Amount
Transportation			
Own car		Mileage : _____ x \$0.550	
Lodging			
Meals			
Conference fees			
Other			
			<b>Subtotal</b>
			<b>Less amount paid by Town</b>
			<b>Total amount owing to employee</b>

Signature	Date
-----------	------

Please attach receipts for all listed expenses, sign the form, obtain approval, and submit to the Town Clerk or Bookkeeper.